

# College Performance Measurement Framework (CPMF) Reporting Tool

Reporting Year: January 2024 – December 2024

March 31, 2025

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## Introduction

## The College Performance Measurement Framework (CPMF)

The CPMF has been developed by the Ontario Ministry of Health (the ministry) in close collaboration with Ontario's health regulatory Colleges (Colleges), subject matter experts and the public with the aim of answering the question "how well are Colleges executing their mandate to act in the public interest?" This information:

- 1. Strengthens accountability and oversight of Ontario's health regulatory Colleges; and
- 2. Supports Colleges in improving their performance.

Each College reports on seven Domains with the support of six components, as illustrated in Table 1.

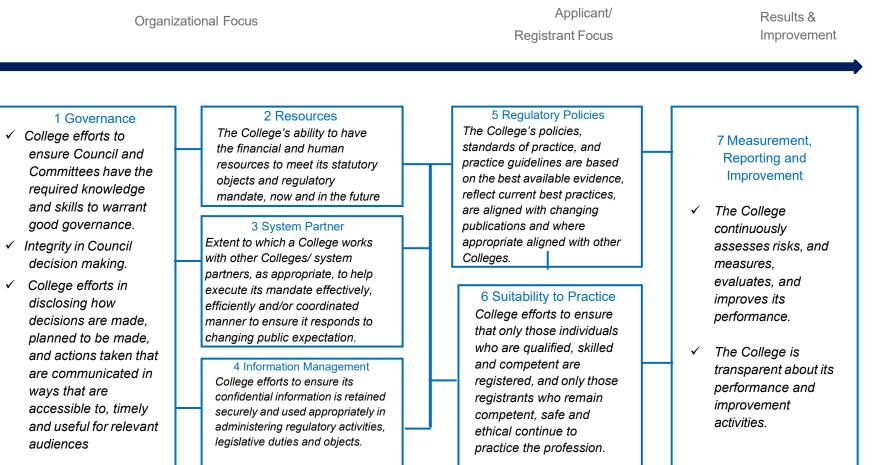
#### **Table 1:** CPMF Measurement Domains and Components

1	Measurement domains	Critical attributes of an excellent health regulator in Ontario that should be measured for the purpose of the CPMF.	$\rightarrow$
2	Standards	Performance-based activities that a College is expected to achieve and against which a College will be measured.	$\rightarrow$
3	Measures	More specific requirements to demonstrate and enable the assessment of how a College achieves a Standard.	$\rightarrow$
4	Evidence	Decisions, activities, processes, or the quantifiable results that are being used to demonstrate and assess a College's achievement of a standard.	$\rightarrow$
5	Context measures	Statistical data Colleges report that will provide helpful context about a College's performance related to a standard.	$\rightarrow$
6	Planned improvement actions	Initiatives a College commits to implement over the next reporting period to improve its performance on one or more standards, where appropriate.	$\rightarrow$

#### **CPMF Model**

The seven measurement domains shown in Figure 1 are critical attributes that contribute to a College effectively serving and protecting the public interest. They relate to statutory obligations and organizational processes that enable a College to carry out its functions well. The seven domains are interdependent and together lead to outcomes that a College is expected to achieve as an excellent regulator. The fourteen Standards within the seven measurement domains are listed in Figure 2.

#### Figure 1: CPMF Model for Measuring Regulatory Excellence



#### Figure 2: CPMF Domains and Standards

Domains	Standards
Governance	1. Council and statutory committee members have the knowledge, skills, and commitment needed to effectively execute their fiduciary role and responsibilities pertaining to the mandate of the College.
	2. Council decisions are made in the public interest.
	3. The College acts to foster public trust through transparency about decisions made and actions taken.
Resources	4. The College is a responsible steward of its (financial and human) resources.
System Partner	5. The College actively engages with other health regulatory Colleges and system partners to align oversight of the practice of the profession and support execution of its mandate.
	6. The College maintains cooperative and collaborative relationships responds in a timely and effective manner to changing public expectations.
Information Management	7. Information collected by the College is protected from unauthorized disclosure.
Regulatory Policies	8. Policies, standards of practice, and practice guidelines are based in the best available evidence, reflect current best practices, are aligned with changing public expectations, and where appropriate aligned with other Colleges.
Suitability to Practice	9. The College has processes and procedures in place to assess the competency, safety, and ethics of the people it registers.
	10. The College ensures the continued competence of all active registrants through its Quality Assurance processes. This includes an assessment of their competency, professionalism, ethical practice, and quality of care.
	11. The complaints process is accessible and supportive.
	12. All complaints, reports, and investigations are prioritized based on public risk, and conducted in a timely manner with necessary actions to protect the public.
	13. The College complaints process is coordinated and integrated.
Measurement, Reporting and Improvement	14. The College monitors, reports on, and improves its performance.

## **The CPMF Reporting Tool**

The College Performance Measurement Framework (CPMF) remains a cornerstone of regulatory transparency and excellence in Ontario. Through this fifth iteration, the CPMF will continue to provide the public, the Ministry of Health, and other stakeholders with critical insights into the activities and processes of health regulatory Colleges during 2024.

The information gathered through the CPMF Reporting Tool is intended to spotlight areas for enhancement, prompting closer attention and potential follow-up actions. As in the past, the Ministry will not assess whether Colleges meet or do not meet the Standards in the CPMF. The outcomes of the reporting will continue to facilitate meaningful dialogue on performance improvement among College staff and Council members and between Colleges and their broader communities, including the public, the Ministry, members, and other stakeholders.

#### **Completing the CPMF Reporting Tool**

While the CPMF Reporting Tool seeks to clarify the information requested, it is not intended to direct College activities and processes or restrict the way a College fulfills its fiduciary duties. Where a term or concept is not explicitly defined in the CPMF Reporting Tool, the ministry relies on individual Colleges, as subject matter experts, to determine how a term should be appropriately interpreted given the uniqueness of the profession each College oversees.

In the spirit of continuous improvement, if the College plans to improve its actions or processes related to a respective Measure or Evidence, it is encouraged to highlight these planned activities and progress made on commitments from previous years.

There are eight pieces of Evidence highlighted within Part 1 of the Reporting Tool as 'Benchmarked Evidence'. These pieces of evidence were identified as attributes of an excellent regulator, and Colleges should meet, or work towards meeting these benchmarks. If a College does not meet, or partially meets expectations on a benchmark, it is asked to provide an improvement plan that includes the steps it will follow, timelines and any barriers to implementing that benchmark.

Where a College fully met Evidence in 2023 and 2024, the College may opt to respond with 'Met in 2023 and Continues to Meet in 2024'. In the instances where this is appropriate, this option appears in the dropdown menu. If that option is not there, Colleges are asked to fully respond to the Evidence or Standard. Colleges are also asked to provide additional detail (e.g., page numbers), when linking to or referencing College documents.

# Part 1: Measurement Domains

	] - [	Measure: 1.1 Where possible, Council and Council or a Statutory Comm	d Statutory Committee members demonstrate that they have the knowledge, skills, and commitment p nittee.	rior to becoming a member of
щ	01	Required Evidence	College Response	
ANC	DARI	a. Professional members are eligible to stand for election to	The College fulfills this requirement:	Yes
GOVERNANCE	STANDARD	Council only after: i. meeting pre-defined	<ul> <li>The competency and suitability criteria are public: Yes         If yes, please insert a link and indicate the page number where they can be found; if not, please list criteria.     </li> <li>As outlined in the 2021 CPMF report (p. 9), CPSO sets out both minimum eligibility requirements to determine the suitability is a set of the set of</li></ul>	ability of professional directors of
÷		criteria; and	the Board, <sup>1</sup> as well as desired competencies that are highlighted as part of any call for nominations.	
DOMAIN		Benchmarked Evidence	Minimum eligibility requirements (or exclusion criteria) are set out in s. 2.2 of CPSO's <u>By-laws</u> (p. 4-8). These set out for suitability and include requirements that potential directors not be the subject of any disciplinary or incapacity proceed	
DO			been within one year before the date of the election, a director or officer of any major stakeholder organization (e.g. that they are not, and have not been within five years before the date of the election, an employee of the College; and amended by the Board in December (p. 204-286) and will apply to elections beginning in 2024.	he Ontario Medical Association);
			Provided a professional director candidate meets the minimum eligibility requirements for the Board, they are then as competency framework. In 2024, a <u>Board Profile</u> was updated and approved by the Board, including desired skills, con that Board Directors should possess to ensure that the Board can carry out its strategic objectives. As part of the elect professional directors are asked to highlight in their nomination statement the skills and experience outlined in the Bo the role. Finally, the submitted nomination packages are reviewed by the Governance and Nominating Committee prior	npetencies, and diversity attributes ion process to the Board, pard Profile that they can bring to
			Suitability with eligibility requirements. One of the Key Performance Indicators (KPIs) designated for 2023 was a comprehensive review and refresh of the CPS	
			the Board approved By-law changes that will move away from the district-based model of professional director electic competency, skills, and diversity. A KPI for 2024 was to conduct the 2025 elections for Board Directors in accordance v	on to one that is based on

<sup>&</sup>lt;sup>1</sup> On December 7, 2023, CPSO's Council approved amendments to the By-laws that, among other things, revised governance terminology. The term "Council" has now been replaced with "Board of Directors" and "member" with "registrant".

Materials setting out this change can be viewed <u>here</u> (p. 204-286).	
If the response is "partially" or "no", describe the College's plan to fully implement this measure. Outline the steps (i.e., drafting policies, consulting stakeholders, or reviewing/revising existing policies or procedures, etc.) the College will be taking, expected timelines and any barriers to implementation.	

ii. attending an orientation training about the College's mandate and expectations pertaining to the member's role and	The College fulfills this requirement: <ul> <li>Duration of orientation training.</li> </ul>	Yes
responsibilities.	<ul> <li>Please briefly describe the format of orientation training (e.g. in-person, online, with facilitator, testir</li> <li>Please insert a link and indicate the page number if training topics are public <i>OR</i> list orientation train</li> </ul>	
	As outlined in the <u>2021 CPMF report (p. 10)</u> , all professional directors who wish to stand for elec eLearning Program, approximately 1-1.5 hours in duration. The online program can be complete a combination of presented information, case studies, and quizzes to provide opportunities to d to connect with professional directors to answer questions or clarify any information provided i addition, the Board training process includes a mentorship component to ensure that new direc director.	ed at the professional director's desired pace and includes demonstrate the knowledge gained. Staff are also available in the Governance Orientation eLearning Program. In
	The training modules for professional directors are: Introduction to the College; By-laws, Legisla Public; Confidentiality and Communications; A Day at a Board Meeting; and Board Election Proc	cess.
	If the response is "partially" or "no", is the College planning to improve its performance over the next report Additional comments for clarification (optional):	orting period? Choose an item.
<ul> <li>Statutory Committee candidates have:</li> </ul>	The College fulfills this requirement:	Yes
i. Met pre-defined competency and suitability	<ul> <li>The competency and suitability criteria are public: Choose an item.</li> <li>If yes, please insert a link and indicate the page number where they can be found; if not, please list cr As outlined in the <u>2021 CPMF report</u> (p. 10), CPSO sets out skills and qualifications that are expension.</li> </ul>	
Benchmarked Evidence	Statutory committees are comprised of Board Directors as well as non-Board members. As for p 1.1.a.i.), CPSO outlines both minimum eligibility requirements to determine the suitability of pro competencies that are highlighted as part of any call for applications.	
	Minimum eligibility requirements (or exclusion criteria) are set out in s. 7.3 of CPSO's <u>By-laws</u> (p individual's suitability to sit on committee and include requirements that potential directors not	

proceeding, that their certificate of registration has never been revoked or suspended, and so on. In addition, s. 7.5 of the By-law sets out separate grounds that would disqualify a professional committee member from sitting on committee. Provided a candidate meets the minimum eligibility requirements, they are then evaluated against the competency framework and specific needs identified by the particular committee.
When appointing a Board Director to statutory committees, the Committee Chair considers the director's skills, experience and commitment and recommends appointments based on the competencies required for the statutory committee. The Executive Committee recruits non-Board directors to statutory committeer committees using competencies, qualifications, and suitability criteria that the particular committee requires, which are publicly available on <u>CPSO's website</u> when committee vacancies are posted. Using the Board Profile as a model, CPSO continues to develop and refine the skills, competencies and diversity attributes for each statutory committee to better inform the recruitment and appointment process.
In 2022, the committee application process was also improved and a new survey that assessed minimum eligibility requirements and the applicant's skills and competencies was used. This also included the adoption of new questions to collect demographic information, in line with EDI best practices. The survey can be found online <u>here</u> . In 2023 the process to assess suitability was further enhanced by including an interview assessment and conducting reference checks.

		If the response is "partially" or "no", describe the College's plan to fully implement this measure. Outline the steps (i.e., drafting poli reviewing/revising existing policies or procedures, etc.) the College will be taking, expected timelines and any barriers to implement	_
	ii. attended an orientation	The College fulfills this requirement:	Yes
	training about the mandate of the Committee and	Duration of each Statutory Committee orientation training.	
	expectations pertaining to a member's role and	• Please briefly describe the format of each orientation training (e.g., in-person, online, with facilitator, testing knowledge at the	end).
	responsibilities.	• Please insert a link and indicate the page number if training topics are public <b>OR</b> list orientation training topics for Statutory Co	mmittee.
		As outlined in the 2021 CPMF report (p. 10), all new committee members must complete CPSO's Governance Orientation beginning their committee work. The online program provides a robust orientation to CPSO, its governance structure a member. The program is approximately 1-1.5 hours in duration and can be completed in one sitting or at the director's includes a combination of presented information, case studies and quizzes to provide opportunities to apply the knowle available to connect with directors to answer any questions or clarify any information provided in the Governance Orie	nd the role of a committee desired pace. The program edge gained. Staff are also
		For non-Board committee members, the training modules are: Introduction to the College; By-laws, Legislation and Reg Serving the Public; Confidentiality and Communications; Board Overview; and A Day at Committee. (The training modu Directors, who are cross-appointed to various statutory committees, are covered below in 1.1.c.)	
		Depending on the committee, there may be additional training provided to committee members to support their work. orientation topics were outlined in the 2021 CPMF report (p. 11).	The committee-specific
		If the response is "partially" or "no", is the College planning to improve its performance over the next reporting period?	Choose an item.

Additional comments for clarification (optional):

	C.	Prior to attending their first	The College fulfills this requirement:	Met in 2023, continues to meet in 2024
meeting, public appointments to Council undertake an orientation training course provided by the College about the College's mandate and expectations	meeting, public appointments to Council undertake an orientation training course provided by the College about the College's mandate and expectations pertaining to the appointee's role and responsibilities.	<ul> <li>Duration of orientation training.</li> <li>Please briefly describe the format of orientation training (e.g., in-person, online, with facilitator, testing knowledge at th</li> <li>Please insert a link and indicate the page number if training topics are public <i>OR</i> list orientation training topics.</li> <li>As outlined in the 2021 CPMF report (p. 10), all new Public Board Directors must complete CPSO's Governance O beginning their committee work. The online program provides a robust orientation to CPSO, its governance struct member. The program is approximately 1-1.5 hours in duration and can be completed in one sitting or at the me includes a combination of presented information, case studies and quizzes to provide opportunities to apply the available to connect with directors to answer any questions or clarify any information provided in the Governance addition, the Board training process includes a mentorship component to ensure that new directors are onboard director.</li> <li>For Public Board Directors, the training modules are: Introduction to the College; By-laws, Legislation and Regula Public; Confidentiality and Communications; A Day at a Board Meeting; and Public Director Remuneration.</li> </ul>	e end). rientation eLearning Program prior to ture, and the role of a committee mber's desired pace. The program knowledge gained. Staff are also e Orientation eLearning Program. In ed with the support of an experienced	
			If the response is "partially" or "no", is the College planning to improve its performance over the next reporting period? Additional comments for clarification (optional):	Choose an item.

Required Evidence	College Response	
<ul> <li>a. Council has developed and implemented a framework to regularly evaluate the effectiveness of:</li> <li>i. Council meetings; and</li> <li>ii. Council.</li> </ul>	<ul> <li>The College fulfills this requirement:</li> <li>Please provide the year when Framework was developed <i>OR</i> last updated.</li> <li>Please insert a link to Framework <i>OR</i> link to Council meeting materials and indicate the page number where the Framework</li> <li>Evaluation and assessment results are discussed at public Council meeting: Choose an item.</li> <li>If yes, please insert a link to the last Council meeting and indicate the page number where the most recent evaluation results</li> <li>CPSO continues to meet this requirement and has comprehensively outlined the training provided in the 2020 CPN</li> </ul>	ults have been presented and discus
	offered in section 1.2.c below.	<u>in report</u> (p. 10). More informa

		b.	The framework includes a third- party assessment of Council	The College fulfills this requirement:	Yes
		effectiveness at a minimum every three years.	• Has a third party been engaged by the College for evaluation of Council effectiveness? Choose an item.		
			• If yes, how often do they occur?		
				Please indicate the year of last third-party evaluation.	
			t	Deanna Williams, Dundee Consulting Group Ltd., provided education and training sessions to all Board Directors regard the overall objectives of a Board and Board Director expectations. These sessions took place regularly throughout the y meetings.	
			F	Regular review of best practices helps ensure Board effectiveness as it streamlines meetings and provides a clear under	standing and division of roles.
			-	If the response is "partially" or "no", is the College planning to improve its performance over the next reporting period?	Choose an item.
				Additional comments for clarification (optional)	

		Ongoing training provided to Council and Committee members		Yes
		has been informed by:	Please insert a link to documents outlining how outcome evaluations have informed Council and Committee training and indicated and indica	ate the page numbers.
	i.	. the outcome of relevant	• Please insert a link to Council meeting materials and indicate the page number where this information is found <b>OR</b>	
		evaluation(s);	• Please briefly describe how this has been done for the training provided <u>over the last calendar year</u> .	
	ii.	. the needs identified by Council and Committee		
		members; and/or	Each Board meeting concludes with an informal Meeting Reflection Session so that Board Directors may share observa the meeting and the engagement of directors. Certain CPSO committees (e.g., Executive Committee and Governance a conclude in the same fashion. In addition, Board Directors are requested to complete a survey following each Board me appropriateness of the meeting agenda, the effectiveness of the conduct of the meeting, the adequacy of background provided by Board support staff. Directors are also specifically prompted to provide information about areas they feel to	nd Nominating Committee) eeting to assess the materials, and the level of support
			future. Results from these surveys are collected by senior CPSO staff to develop and enhance subsequent Board meetin education and training.	
			Over the course of 2024, a number of education sessions were conducted with Board Directors and Committee membe Williams, was invited to share her governance expertise with the Board Directors and committee members. These 1.5- well-received by attendees.	•
				1
			If the response is "partially" or "no", is the College planning to improve its performance over the next reporting period? Additional comments for clarification (optional):	Choose an item.

<li>iii. evolving public expectations including risk management</li>		Yes
and Diversity, Equity, and	Please insert a link to documents outlining how evolving public expectations have informed Council and Committee training and	d indicate the page numbers.
Inclusion.	• Please insert a link to Council meeting materials and indicate the page number where this information is found <b>OR</b>	
Further clarification:	• Please briefly describe how this has been done for the training provided over the last calendar year.	
	General education regarding Governance best practices including risk oversight was provided to Board Directors and Co joint education sessions in 2024.	ommittee members during two
	Additional information about how public expectations are ascertained and used to support decision-making is outlined	below in Standard 5 and 6.
	If the response is "partially" or "no", is the College planning to improve its performance over the next reporting period?	Choose an item.
	Additional comments for clarification (optional):	

## Measure:

2.1 All decisions related to a Council's strategic objectives, regulatory processes, and activities are impartial, evidence-informed, and advance the public interest.

Required Evidence	College Response	
a. The College Council has a Code of	The College fulfills this requirement:	Yes
Conduct and 'Conflict of Interest' policy that is:	• Please provide the year when the Council Code of Conduct and 'Conflict of Interest' Policy was last evaluated/updated.	
i. reviewed at least every three years to ensure it reflects	• Please briefly describe any changes made to the Council Code of Conduct and 'Conflict of Interest Policy' resulting from the last	review.
current legislation, practices, public expectations, issues, and emerging initiatives (e.g., Diversity, Equity, and Inclusion); and	As outlined in the <u>2021 CPMF report (p</u> . 17), both the Board and Committee Code of Conduct and the Conflict of Intere Declaration of Adherence package were updated and approved by the Board in December 2021. The Code of Conduct expectations pertaining to email and technology use as a result of the virtual work environment and the Conflict of Inter Board Directors to declare any conflicts or affirm that they have none to declare. (The Conflict of Interest form has now form.)	was revised to reflect evolving erest form was updated to require
the public expectations, issues and emerging initiatives based on input from their members, stakeholders,	In September 2023, the Board and Committee Code of Conduct and Declaration of Adherence were once again update pertaining to the use of social media and technology in an increasingly digital world. In addition, updates were made ar expectations around disclosing new roles that might represent a conflict, real or perceived. Changes can be found in th <u>materials</u> (pp. 173-193).	round conflicts of interest and
also an opportunity to reflect additional issues, expectations, and	In September 2024, the Board and Committee Code of Conduct and Declaration of Adherence were updated to reflect By-laws enacted by the Board in December 2023. In addition, updates were made to reflect updated grounds of disqua laws, and to include Physician Assistants (PAs) who will become CPSO registrants in 2025.	
8	Board Directors continue to be required to confirm whether they have any conflicts of interest to declare, both annuall item considered at the Board	ly and in relation to each specific
	If the response is "partially" or "no", is the College planning to improve its performance over the next reporting period?	Choose an item.

**STANDARD 2** 

Additional comments for clarification (optional)

ii. accessible to the public.	The College fulfills this requirement:	Met in 2023, continues to meet in 2024	
	Please insert a link to the Council Code of Conduct and 'Conflict of Interest' Policy <b>OR</b> Council meeting materials where and approved and indicate the page number.	the policy is found and was last discussed	
	CPSO continues to meet this requirement as outlined in the 2021 CPMF report (p. 18). Current relevant documen	ts can be accessed <u>here</u> .	
	If the response is "partially" or "no", is the College planning to improve its performance over the next reporting period?	Choose an item.	
	Additional comments for clarification (optional)		
b. The College enforces a minimum time before an individual can be	The College fulfills this requirement:	Met in 2023, continues to meet in 2024	
elected to Council after holding a position that could create an actual or perceived conflict of interest with respect their Council duties (i.e., cooling off periods). <u>Further clarification:</u> Colleges may provide additional methods not listed here by which they meet the evidence.	<ul> <li>Cooling off period is enforced through: Choose an item.</li> <li>Please provide the year that the cooling off period policy was developed <i>OR</i> last evaluated/updated.</li> <li>Please provide the length of the cooling off period.</li> <li>How does the College define the cooling off period? <ul> <li>Insert a link to policy / document specifying the cooling off period, including circumstances where it is enforced and in</li> <li>Insert a link to Council meeting where cooling off period has been discussed and decided upon and indicate the page</li> <li>Where not publicly available, please briefly describe the cooling off policy.</li> </ul> </li> </ul>	dicate the page number;	
	CPSO continues to meet this requirement as outlined in the <u>2021 CPMF report</u> (p. 18). Relevant requirements are	set out in s. 2.2.1 of CPSO's <u>By-laws</u> .	

		If the response is "partially" or "no", is the College planning to improve its performance over the next reporting period?	Choose an item.
		Additional comments for clarification (optional)	
	c. The College has a conflict-of- interest questionnaire that all	The College fulfills this requirement:	Yes
	Council members must complete	• Please provide the year when conflict of interest the questionnaire was implemented <b>OR</b> last evaluated/updated.	
	annually. <u>Additionally</u> :	• Member(s) note whether their questionnaire requires amendments at each Council meeting and whether they have any conflicts of interest based on Council agenda items: Choose an item.	
		• Please insert a link to the most recent Council meeting materials that includes the questionnaire and indicate the page number CPSO continues to meet this requirement as outlined in the <u>2021 CPMF report</u> (p. 19) CPSO has a Declaration of Adher asked to review and complete on an annual basis. The Declaration of Adherence is reviewed annually (and was last up leading governance best practices. The document can be accessed <u>here</u> .	erence that all Board Directors are
	questions based on areas of risk for conflict of interest identified by Council that are specific to the profession	Included among the Declaration of Adherence material is a disclosure form that requires directors to identify any poter perceived. Board Directors are reminded at each meeting of the potential for conflicts of interest and are prompted to conflicts of interest that relate to the agenda items being discussed. Staff proactively monitor and work with the Chair potential conflicts of interest and work with Board Directors as needed.	identify any existing or new
	and/or College; and iv. at the beginning of each	If the response is "partially" or "no", is the College planning to improve its performance over the next reporting period?	Choose an item.
	Council meeting, members must declare any updates to their responses and any conflict of interest <u>specific to</u> <u>the meeting agenda</u> .	Additional comments for clarification (optional)	

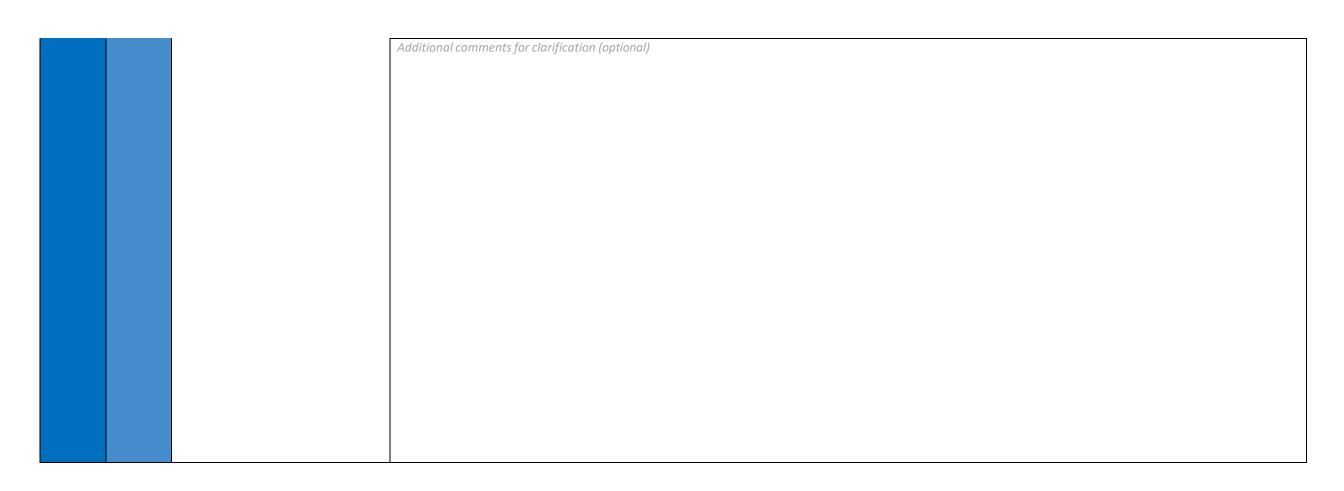
	d. Meeting materials for Council	The College fulfills this requirement:	Met in 2023, continues to meet in 2024
	actions (e.g., the minutes include a link to a publicly available briefing note).	<ul> <li>Please briefly describe how the College makes public interest rationale for Council decisions accessible for the public.</li> <li>Please insert a link to Council meeting materials that include an example of how the College references a public interest ra</li> <li>CPSO continues to meet this requirement as outlined in the <u>2021 CPMF report</u> (p.20). The training modules for pro the College; By-laws, Legislation and Regulation; Fiduciary Duty and Serving the Public; Confidentiality and Communication.</li> </ul>	tionale and indicate the page number. fessional directors are: Introduction to nications; A Day at a Board Meeting;
		If the response is "partially" or "no", is the College planning to improve its performance over the next reporting period?	Choose an item.
		Additional comments for clarification (if needed)	1

e. The College has and regularly reviews a formal approach to	The College fulfills this requirement:	Yes
identify, assess, and manage internal and external risks. This approach is integrated into the College's strategic planning and operations.	<ul> <li>Please provide the year that the formal approach was last reviewed.</li> <li>Please insert a link to the internal and external risks identified by the College <i>OR</i> Council meeting materials where the risks College's strategic planning activities and indicate page number.</li> </ul>	were discussed and integrated into the
<u>Further clarification:</u> Formal approach refers to the documented method or which a College undertakes identify, assess, and manage risk. Th method or process should be regularly reviewed as appropriate.	CPSO actively participates in the Federation of Medical Regulatory Authorities of Canada (FMRAC) Integrated Risk Management System (FIRMS). The risk management tool used by Canadian medical regulatory authorities (MRAs), with valuable contributions from the Healthcare Insurance Reciprove Canada (HIROC). FIRMS provides a model and framework for ongoing integrated risk management and quality improvement across a number of domains (e.g., registration, complaints, facilities, governance, etc.). FIRMS is a voluntary, continuous, systematic process to understand, manage an communicate risk within CPSO and among MRAs. The framework supports strategic decision making to fulfill the organizational mandate. To help ansure integrated risk management and due diligence. CPSO has incorporated EIRMS into day to day operational decisions.	
Risk management planning activiti should be tied to strategic objective of Council since internal and extern risks may impact the ability of Coun- to fulfill its mandate, especially in the absence of mitigations.	Moreover, the CPSO's new Enterprise Management System, for which rollout began in 2020 and concluded in 2022, databases/systems to support data integration across the organization. This includes the implementation of Solis (CP (CPSO's document management system), and the new Finance and Operations (F&O) system. In moving all CPSO dat cybersecurity risk and duplication, supports improved data quality (consistency across systems), supports improved r and enables a single source of information.	consolidates and shores up multiple SO's registrant database), Vault a to the cloud, it also minimizes
of the College and may impact	IFTHE RESPONSE IS FORTION OF THE STILL CONCOPEDIDINING TO IMPROVE IS DEFIORMUNCE OVER THE DEXT REDOMING DEFIOR (	Choose an item.

External ri and/or na	neet its strategic objectives. Additional comments for clarification (if needed) isks are economic, political atural factors that happen the organization.	)	

	m	Measure:		
	ARD	3.1 Council decisions are transp	arent.	
	(ND)	Required Evidence	College Response	
GOVERNANCE	STANDARD		College Response         The College fulfills this requirement:         • Please insert a link to the webpage where Council minutes are posted.         • Please insert a link to where the status updates on implementation of Council decisions to date are posted <b>OR</b> where the posted.	aterials include minutes from previous

	b. The following information about	The College fulfills this requirement:	Yes
	Executive Committee meetings is clearly posted on the College's	Please insert a link to the webpage where Executive Committee minutes/meeting information are posted.	
	<ul> <li>website (alternatively the College can post the approved minutes if it includes the following information).</li> <li>i. the meeting date;</li> <li>ii. the rationale for the meeting;</li> <li>iii. a report on discussions and decisions when Executive Committee acts as Council or discusses/deliberates on matters or materials that will be brought forward to or affect Council; and</li> <li>iv. if decisions will be ratified by Council.</li> </ul>	As outlined in the 2021 CPMF report (p. 22), CPSO's Executive Committee Terms of Reference is available online throughout the year. Ad hoc meetings are scheduled as needed to address time-sensitive matters, for example, statutory committees so that they can carry out their work effectively. As outlined in our By-laws, s. 6.2.3, decisi generally required to be discussed with the Executive Committee first: The Board shall, and may only, consider: (a) at a special meeting, the matter for decision at the meeting contained in the requisition deposited w (b) at a regular meeting, a motion made and seconded in writing: (i) on behalf of the Executive Committee; (ii) in a report by a committee which has received prior review by the Executive Committee; (iii) of which a notice of motion was given by a Director at the preceding Board meeting; or (iv) if a vote is held at the meeting and at least a two-thirds majority of the votes cast by the Dire to consider such motion; and (c) at any meeting, routine and procedural motions in accordance with the rules. Thus, when matters such as policy reviews come to the Board, they have been reviewed first by the Executive Com Executive Committee has acted on behalf of the Board, those decisions are communicated to Board Directors ar that is included in subsequent Board meeting materials. Click <u>here</u> to see an example of the Executive Committee	timely committee appointments to ons that will be ratified by the Board are ith the Registrar; ectors in attendance at the meeting agree ommittee. In situations where the nd to the public in the Executive Report
		If the response is "partially" or "no", is the College planning to improve its performance over the next reporting period?	Choose an item.



Required Evidence	College Response	
notion at least one week in	The College fulfills this requirement:         • Please insert a link to where past Council meeting materials can be accessed <i>OR</i> where the process for requesting these n         CPSO continues to meet this requirement as outlined in the 2021 CPMF report (p. 22). Upcoming Board meetings, noti         materials can be accessed here.         If the response is "partially" or "no", is the College planning to improve its performance over the next reporting period?         Additional comments for clarification (optional)	
b. Notice of Discipline Hearings are posted at least one month in advance and include a link to allegations posted on the public register.	<ul> <li>The College fulfills this requirement:</li> <li>Please insert a link to the College's Notice of Discipline Hearings.</li> <li>CPSO continues to meet this requirement as outlined in the 2021 CPMF report (p. 23). Upcoming meetings are posted</li> </ul>	Met in 2023, continues to meet in 202

		If the response is "partially" or "no", is the College planning to improve its performance over the next reporting period?	Choose an item.
		Additional comments for clarification (optional)	
	Measure:		
	<b>3.3 The College has a Diversity,</b>	Equity, and Inclusion (DEI) Plan.	
	Required Evidence	College Response	
	a. The DEI plan is reflected in the Council's strategic planning		Yes
	activities and appropriately resourced within the organization to support relevant operational initiatives (e.g., DEI	Please insert a link to the College's DEI plan.	
		• Please insert a link to the Council meeting minutes where DEI was discussed as part of strategic planning and appropriate resonumber.	urces were approved and indicate page
	training for staff).	As outlined in previous CPMF Reports, the College has been actively embedding EDI principles into our work over the la is grounded in the principles of CPSO's Strategic Plan, which was extended until 2027, and includes the pillars of meani	
		continuous improvement and system collaboration. Our ongoing support of EDI initiatives are supported by and resour Budget (page 300 of the December 2023 <u>Board Meeting Materials</u> ).	ced through CPSO's Annual
		Our past focus on specific themes and staff training has created the necessary foundation for our EDI work to continue organic way. We continue to supplement staff learning by hosting guest speakers, which this year included a session by and Training Specialist with the 519 Community Centre, who presented "Illuminating History – Unveiling Local 2SLGBTC	y Omar Ramcharran, an Education
		CPSO continues to update its <u>Equity, Diversity and Inclusion website page</u> , which contains links to external resources as well as relevant articles from our College publication, <i>Dialogue</i> , on a range of topics. In addition, we maintain a helpful <u>EDI Glossary</u> .	
		If the response is "partially" or "no", is the College planning to improve its performance over the next reporting period?	Choose an item.

Additional comments for clarification (optional)

b. The College conducts B Assessments to	The conege runnis this requirement.	
Assessments to decisions are fair policy, or program, not discriminatory. <u>Further clarification:</u>	d that a Please insert a link to the Equity Impact Assessments conducted by the College and indicate the page number <b>OR</b> please briefly describe ho	-
Colleges are best placed how best to report on There are several Ec Assessments from whi may draw upon. T	determine Evidence. y Impact a College ministry	ıg from an equity
encourages Colleges to best suited to its situat the profession, stakel patients it serves.	based on The Citizen Advisory Group (CAG) helps to bring the patient voice and perspective to healthcare regulation in Ontario. The CAG is	ctice, professional rules, w members from equity
	New Essentials of Medical Professionalism Resource Approved	
	In 2024, CPSO's Board of Directors approved a new resource, <u>Essentials of Medical Professionalism</u> , which replaced the Practice of expectations related to professional ethics. This new resource articulates the values and duties at the core of medical practice, ar responsibilities related to EDI. The content of <i>Essentials of Medical Professionalism</i> was informed by feedback from physicians an variety of backgrounds gathered through consultations and targeted engagement (e.g., physician-patient roundtable on medical	nd emphasizes nd patients from a wide
	Patient and Public Help Centre CPSO continues to offer audio interpretation service to patients calling CPSO, enabling communication in 240 languages. These la the three most commonly spoken Indigenous languages in Ontario, were added to help support the public and communities and of inequity. The interpreter facilitates communication and ensures any questions or concerns are accurately presented.	
	New Physician Register	
	CPSO launched a new Physician Register in October 2024 after consulting extensively with stakeholders including pharmacists, he	ospitals, medical schools,

doctors, and members of the public. The new Register has been completely modernized, offering a better user experience, a more intuitive way of displaying physician information and heightened cybersecurity. Among many enhancements, one key change relates to registrants' gender identity. The new register reflects one of five options: man, woman, non-binary, two-spirit or prefer not to say. This change ensures registrants' gender identity and other personal information is accurately displayed. Competency-Based Election Model CPSO is adopting a new election model for the spring 2025 election, the first of its kind for Ontario physicians seeking to become CPSO Board Directors. The election format is changing as CPSO's governance processes are modernized to achieve a balanced Board, composed of directors who reflect the diversity of Ontario's population and the practice of medicine. In May 2024, the Board approved a new Board Profile that identifies the collective skills, professional backgrounds, and lived experiences desired on the Board for it to effectively oversee Ontario's medical profession. The current Board skillset will be applied against the Board Profile to identify where gaps exist in advance of the 2025 election. More information is provided in Standards 5 and 6 below. If the response is "partially" or "no", is the College planning to improve its performance over the next reporting period? Choose an item. Additional comments for clarification (optional)

-		
DOMAIN	2: <b>RESOURCES</b>	STANDARD 4

#### Measure:

4.1 The College demonstrates responsible stewardship of its financial and human resources in achieving its statutory objectives and regulatory mandate.

Requi	ired Evidence	College Response				
	<ul> <li>The College identifies activities and/or projects that support its strategic plan including how resources have been allocated.</li> </ul>	The College fulfills this requirement:	Yes			
stra		• Please insert a link to Council meeting materials that include discussions about activities or projects to support the strategic plan <b>AND</b> a link to the most recent approved budget and indicate the page number.				
		<ul> <li>Please briefly describe how resources were allocated to activities/projects in support of the strategic plan.</li> </ul>				
<u>Further</u>	r clarification:					
A Colle	ege's strategic plan and budget	CPSO's Strategic Plan grounds all Board activity. Most notably, each Board meeting begins with a reminder regarding our	strategic plan and common			
should	be designed to complement	<sup>lent</sup> focus, including a report from the Registrar & CEO about how CPSO is advancing each element of the Strategic Plan through ongoing work a				
		nitoring Key Performance Indicators. All Board briefing notes indicate how the item or decision is related to the strategic plan. CPSO's budget process				
		outlines the associated costs of all College activities to ensure the College is appropriately resourced to deliver on the strategic plan. The 2025 budget,				
		approved by the Board in November 2024, can be found in <u>these materials</u> at pages 98-99.				
	akes or identifies to achieve its					
_	To do this, a College should	If the response is "partially" or "no", is the College planning to improve its performance over the next reporting period?	Choose an item.			
	estimated the costs of each	Additional comments for clarification (optional)				
	y or program and the budget be allocated accordingly.					
siloulu	be anotated accordingly.					
			Choose an			
			0110030 011			

b. T	he College:	The College fulfills this requirement:	Met in 2023, continues to meet in 2024	
i. ii.	has a "financial reserve policy" that sets out the level of reserves the College needs to build and maintain in order to meet its legislative requirements in case there are unexpected expenses and/or a reduction in revenue and possesses the level of	<ul> <li>Please insert a link to the "financial reserve policy" <i>OR</i> Council meeting materials where financial reserve policy has been discussed and approved and indicate the page number.</li> <li>Please insert the most recent date when the "financial reserve policy" has been developed <i>OR</i> reviewed/updated.</li> <li>Has the financial reserve policy been validated by a financial auditor? Choose an item.</li> </ul>		
		If the response is "partially" or "no", is the College planning to improve its performance over the next reporting period?	Choose an item.	
		Additional comments for clarification (if needed)		

		1
c. Council is accountable for the	The College fulfills this requirement:	Yes
success and sustainability of the organization it governs. This	Please insert a link to the College's written operational policies which address staffing complement to address current and future	needs.
includes:	• Please insert a link to Council meeting materials where the operational policy was last reviewed and indicate the page number.	
i. regularly reviewing and updating written operational policies to ensure that the organization has the staffing complement	Note: Colleges are encouraged to add examples of written operational policies that they identify as enabling a sustainable human r organizational success. As outlined in the 2021 CPMF report (p. 28), operational policies are not generally brought before the Board for approval of they are operational in nature. CPSO has a recruitment policy to address current and future staffing needs, posted interna organizational success with a sustainable human resource complement managed through several processes and tools. The management practices within the Human Resources department and the annual budget planning process. The latter is de- and directors plan staffing requirements for the following year, taking to account new and upcoming vacancies and depar As part of the annual budget process, Finance and Audit Committee and the Board approve the staff complement number in addition to being provided with planned operating and capital expenditures for the next fiscal year along with the plann balanced budget. The 2025 budget, approved by the Board in November 2024, can be found in can be found in <u>these mat</u> In addition, during the CEO/Registrar's annual performance review, the Executive Committee and the Board see the balan performance management tool that includes a review of the Key Performance Indicators and feedback from stakeholders, assessments, and staff engagement surveys. In that review, the Board has opportunity to discuss any succession planning, may have. Finance is currently working on enhancing the balanced scorecard with financial metrics and KPIs supporting o presented.	or decision-making because Ily. In addition, CPSO ensures ese include position signed to ensure that managers tmental budgets. and associated financial cost, ned revenues to achieve a terials at pages 98-99. ced scorecard, a strategy Board surveys and HR, and resources concerns it
	If the response is "partially" or "no", describe the College's plan to fully implement this measure. Outline the steps (i.e., drafting policie reviewing/revising existing policies or procedures, etc.) the College will be taking, expected timelines and any barriers to implementatio	

	ii rogularly roviouing and	The College fulfills this requirement:	Yes
	College processes in order to meet its mandate (e.g., digitization of processes such as registration, updated cyber security technology, searchable databases).	• Please insert a link to the College's data and technology plan which speaks to improving College processes <b>OR</b> please briefly descri- As outlined in the <u>2021 CPMF report</u> (p. 29), all CPSO electronic data has been migrated from on-premises servers to the c was completed in early 2022. Moving to the cloud has enabled the CPSO to manage data and access through various gove with multiple layers of security. All registrant data that has been migrated to the cloud has also received an updated secur devices that no longer meet the security requirements to access the system. All CPSO users are required to use CPSO man work on the internal CPSO systems or technology that meet our security standards. All CPSO users also use Multi-Factor A security. Finally, all Board Directors and Committee members are required to adhere to the CPSO's technology policies as Adherence that they are required to sign on an annual basis. These technology requirements were last updated in <u>DecemI</u> CPSO has also added all archive data to a data lake to ensure further security to the legacy data, and a new rebuild of the p cloud which spread between 2023 to 2025.	cloud, which started in 2019 and rnance models and protect it rity model that does not allow aged and issued devices to uthentication for additional outlined in the Declaration of ber 2021 (p. 108).
		If the response is "partially" or "no", is the College planning to improve its performance over the next reporting period? Additional comments for clarification (optional)	Choose an item.

DOMAIN 3: SYSTEM PARTNER STANDARD 5 and STANDARD 6	
Measure / Required evidence: N/A	College response Colleges are requested to provide a narrative that highlights their organization's best practices for the following two standards. An exhaustive list of interactions with every system partner that the College engaged with is not required. Colleges may wish to provide information that includes their key activities and outcomes for each best practice discussed with the ministry, o examples of system partnership that, while not specifically discussed, a College may wish to highlight as a result of dialogue.
The two standards under this domain are not assessed based on measures and evidence like other domains, as there is no 'best practice' regarding the execution of these two standards. Instead, <u>Colleges will report on key activities,</u> <u>outcomes, and next steps that have emerged through a</u> <u>dialogue with the ministry</u> . Beyond discussing what Colleges have done, the dialogue might also identify other potential areas for alignment with other Colleges and system partners.	<ul> <li>Recognizing that a College determines entry to practice for the profession it governs, and that it sets ongoing standards of practice for the profession it regulates and that the profession has multiple layers of oversight (e.g. by employers, different legislation, etc.), Standard 5 captures how the College works with other health regulatory colleges and other system partners to support and strengthen alignment of practice expectations, discipline processes, and quality improvement across all parts of the health system where the profession practices. In particular, a College is asked to report on:</li> <li>How it has engaged other health regulatory Colleges and other system partners to strengthen the execution of its oversight mandate and aligned practice expectations? Please provide details of initiatives undertaken, how engagement has shaped the outcome of the policy/program and identify the specific changes implemented at the College (e.g., joint standards of practice, common expectations in workplace settings, communications, policies, guidance, website, etc.).</li> </ul>

CPSO also participates in HPRO's Practice Advisors network, designed for practice advisors across the different Ontario health regulatory bodies to share experiences, learnings, and issues across the colleges. For example, a college may be developing or implementing a new internal policy/protocol or external policy, and they may ask other colleges for feedback on their implementation process or policy. This work directly contributes to and promotes alignment between colleges on key practice issues.

CPSO further collaborates with medical regulators across Canada through the Medical Regulators Policy Special Interest Group (SIG), in which representatives from each of the Canadian medical regulators meet to discuss policy issues and share updates on policy work being undertaken at their College. In 2024, some key topics of discussion included physician treatment of family members or others close to them, cannabis prescribing/authorization, and indigenous cultural safety and anti-racism.

In addition, beginning in 2023, CPSO and three other health regulators (the Colleges of Audiologists and Speech-Language Pathologists of Ontario, Massage Therapists of Ontario, and Registered Psychotherapists of Ontario) launched a pilot project to enhance the quality, independence and timeliness of discipline hearings. The <u>Health Professions Discipline Tribunals (HPDT) Pilot</u> is based on the Ontario Physicians and Surgeons Discipline Tribunal (OPSDT) model, which was created in 2021 and serves as the identity of the CPSO's Discipline Committee. The OPSDT operates as an independent tribunal with its own staff and experienced lawyer-adjudicators who chair hearings. An experienced tribunal leader chairs the Tribunal as a whole. The tribunal model promotes the independence of the discipline process, creates efficiencies and improves dispute resolution techniques. The HPDT allows each college's tribunal to benefit from the adjudicators' experience in chairing panels, managing cases and providing mentorship to professional and public panel members. The pilot was a success and, in 2025, will transition from a pilot into its next phase. All participants decided to continue in the HPDT. A fifth health regulator, the College of Chiropodists of Ontario, also committed to joining the HPDT on a pilot basis effective January 1, 2025.

All policy reviews include a jurisdictional scan looking at alignment with other health/medical regulatory authorities as appropriate, and this can involve outreach to and collaboration with other health regulatory colleges on specific issues and files. In September 2024, the <u>Essentials of Medical</u> <u>Professionalism</u> resource was finalized. During the review of this resource, particular attention was paid to the codes of conduct/ethics of other health regulators in Ontario, leading to aligned expectations in the final resource. The <u>Reporting Requirements</u> policy (previously titled Mandatory and Permissive Reporting) was finalized in November 2024, and the review of this policy involved particular consideration of the expectations of other regulators, as well as how those expectations are communicated.

Additionally, a number of activities related to work to bring physician assistants (PAs) under CPSO oversight involved engagement with other stakeholders. FAQs for PAs that address, among other topics, what regulation will mean for them and what the registration process will involve, were developed with input from the College of Nurses of Ontario and the Canadian Association of Physician Assistants (CAPA) and shared with various stakeholders. We also engaged with the Healthcare Insurance Reciprocal of Canada to understand liability coverage for PAs, the College of Physicians and Surgeons of Manitoba and Alberta regarding PA licenses, the Ontario College of Pharmacists to get feedback on the PA public register, and the Ministry of Health and CAPA on implementation of the regulations. CPSO conducts regular scheduled meetings with the Ontario Medical Association, the CMPA and the Ontario College of Family Physicians (OCFP). These meetings allow CPSO to share updates and perspectives on emerging or developing policy and practice issues. It also allows CPSO to develop and promote consistent messaging to help physicians understand practice expectations and respond in areas of emerging concern.

For example, in 2024, CPSO revised its <u>Continuity of Care: Advice to the Profession</u> document to clarify physician responsibilities related to using ereferral platforms and to specify instances in which it may be appropriate for specialists to refer patients back to their family physicians for follow-up care. The OCFP has now revised its <u>tools and guidance</u> for family doctors relating to continuity of care, highlighting the changes made by CPSO to its *Advice to the Profession* document. CPSO also worked with the OMA on their revised Continuity of Care Checklists, which were similarly updated to reflect the updated *Advice to the Profession* document. This work will ensure that the materials of the organizations are aligned so that physicians receive consistent information regarding important practice issues.

In 2024, significant work was undertaken by the Registration Committee in several areas, including reducing barriers to entry to practice for physicians. This work included engagement with government and other system stakeholders. A full list of the work undertaken by the Registration Committee in 2024 is found in 9.1.b. below.

At the beginning of 2024, CPSO administered and Chaired the Citizen Advisory Group (CAG), a partnership of health colleges that serves as a forum to consult with patients and the public, and facilitates collaboration between the colleges on a variety of issues of policy and practice. Throughout CPSO's role as CAG Partnership Chair, CPSO significantly evolved the Partnership to include 27 regulatory colleges in Ontario, which includes all 26 health regulators and the Ontario College of Social Workers and Social Service Workers.

CPSO developed specific engagement activities for input from the CAG three times over the course of 2024, including both online surveys and virtual focus groups. As Chair, CPSO also supported other Partner Colleges to engage with the CAG, which included facilitating 11 further online surveys, and 2 additional virtual focus groups.

In 2024, CPSO has undertaken significant work to transition the governance and operational structure of the CAG to HPRO. Since 2019, CPSO has acted as the Chair of the CAG but with all 26 HPRO Colleges and the Ontario College of Social Workers and Social Service Workers (OCSWSSW) now part of the CAG, a centralized governance and operations structure under HPRO has been developed to ensure continued meaningful engagement with the Colleges.

This transition involves transferring overall responsibility for the CAG from CPSO to HPRO, with a newly established Committee of HPRO assuming the responsibilities previously managed by the Chair. This Committee, which includes CPSO's Manager of Policy, will now oversee the day-to-day operations of the CAG, ensuring its effective management and continued value in fostering engagement among the Colleges.

## Standard 6: The College maintains cooperative and collaborative relationships and responds in a timely and effective manner to changing public/societal expectations.

The intent of Standard 6 is to demonstrate that a College has formed the necessary relationships with system partners to ensure that it receives and contributes information about relevant changes to public expectations. This could include both relationships where the College is asked to provide information by system partners, or where the College proactively seeks information in a timely manner.

- Please provide examples of key successes and achievements from the reporting year where the College engaged with partners, including patients/public to ensure it can respond to changing public/societal expectations (e.g., COVID-19 Pandemic, mental health, labor mobility etc.). Please also describe the matters that were discussed with each of these partners and how the information that the College obtained/provided was used to ensure the College could respond to a public/societal expectation.
- In addition to the partners it regularly interacts with, the College is asked to include information about how it identifies relevant system partners, maintains relationships so that the College is able access relevant information from partners in a timely manner, and leverages the information obtained to respond (specific examples of when and how a College responded is requested in Standard 7).

As with the 2021 CPMF report (pp. 32-33), all of the collaborative work highlighted above in Standard 5 also applies to Standard 6 as examples of our efforts to serve the people of Ontario through effective medical regulation, demonstrating our commitment to being accountable and responsive to the public. CPSO also regularly engages with health system stakeholders specifically to respond to changing public/societal expectations. While not an exhaustive list, a few different examples are included to highlight the breadth of partners, including patients/the public, with whom CPSO engages.

In 2024 representatives from CPSO's Policy Department presented at several medical schools including the University of Toronto, Queen's University and Western University, on topics including the role of CPSO, consent to treatment, and medical professionalism.

While the nature of the pandemic's impact continued to change during 2024, CPSO remained committed to continuously updating the guidance and information we were sharing with <u>physicians</u> and the <u>public</u>. This included updating and reframing our COVID guidance for physicians in June of 2023 to focus on assisting them with navigating a system under stress. Further updates were made to this guidance in May 2024 to ensure it remains current and provides helpful guidance for physicians. The 2024 updates include guidance on providing care outside of a physician's usual scope of practice to address the serious health human resource shortages, how physicians can help alleviate the pressures being faced by hospitals, and accepting expired health cards.

As noted above, at the beginning of 2024 CPSO continued to administer and Chair the Citizen Advisory Group (CAG), a partnership of health colleges that serves as a forum to consult with patients and the public, and facilitates collaboration between the colleges on a variety of issues of policy and practice. The CAG is consulted frequently on a variety of issues where the public voice adds tremendous value. In March 2024, the CAG transferred from CPSO to HPRO with support from a newly created CAG Committee of HPRO (currently composed of representatives from CPSO and five other health colleges). This Committee, in place of a single college as Partnership Chair, will assume responsibility for the day-to-day operations of the CAG that CPSO has been performing for the past several years.

Consistent with developments outlined in the 2021 CPMF report (p. 24), ongoing efforts have been made to seek feedback from equity-seeking groups and providers serving these communities. For example, in 2024 CPSO undertook specific outreach to indigenous groups as part of the <u>Physician</u> <u>Treatment of Self, Family Members and Others Close to Them</u> policy review. Outreach to indigenous groups included targeted requests to organizations for identifying individuals who could provide written or verbal feedback on the lived experiences they have had with treating people close to them in the indigenous context.
Enhancements have been made to all CPSO policy consultation surveys to collect demographic information to better understand who is participating in the consultation process. Significant recruitment has been undertaken to ensure the membership of the CAG is more representative of the population we serve.

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DOMAIN 4: INFORMATION MANAGEMENT

## Measure:

7.1 The College demonstrates how it protects against and addresses unauthorized disclosure of information.

D 7	Required Evidence	College Response	
DAR	a. The College demonstrates	The College fulfills this requirement:	Yes
STANDARD 7	information;	• Please insert a link to policies and processes <i>OR</i> please briefly describe the respective policies and processes that addresses disclosure As outlined in the <u>2021 CPMF report</u> (p. 34), in September 2014 the Board approved a strategy for data sharing that includes vision, and decision-making tool. Underpinning the vision are principles that provide a foundation for sound decision-making. governance structure enhance both the consistency and timeliness of responses to data-sharing requests. CPSO's data sharin 2020 to a streamlined, timely, resource-efficient process to manage and provide information to health care stakeholders. The details of the policy and decision-making tool that governs the disclosure of information can be found on our <u>website</u> .	and requests for information. a governance structure, The decision tool and
		If the response is "partially" or "no", is the College planning to improve its performance over the next reporting period? Additional comments for clarification (optional)	Choose an item.

ii. uses cybersecurity measures to protect	The College fulfills this requirement:	Yes
against unauthorized disclosure of	• Please insert a link to policies and processes <b>OR</b> please briefly describe the respective policies and processes to address cybersecurity and disclosure of information.	nd accidental or unauthorized
and processes to address accidental or	As outlined in the 2021 CPMF report (p. 34), in addition to reiterating the importance of confidentiality (also addressed in the Policy), CPSO has implemented an Information Breach Protocol that sets out the process for addressing the loss, theft, and un disclosure of confidential information. The process requires information breaches to be reported to the CPSO Privacy Officer, a containment, assessment, mitigation, notification, and prevention steps to be taken as deemed appropriate by the Privacy Off response team for each information breach. The Information Breach Protocol also specifically addresses reporting and investig breaches caused by or involving cybersecurity incidents or technology system malfunctions or misuse. Reported information breached by the Privacy Officer.	authorized access, use, or and provides for ficer and the incident gating information
Benchmarked Evidence	CPSO has also implemented a Protocol for Access to CPSO Information for Monitoring and Review that provides a process and or reviewing the use of CPSO technology by CPSO personnel, as well as CPSO information generated or stored by CPSO person when deemed necessary. As well, in 2024 CPSO created a Responsible Use of Generative AI policy to further enhance our brea standards.	inel on CPSO technology
	If the response is "partially" or "no", describe the College's plan to fully implement this measure. Outline the steps (i.e., drafting policies, con reviewing/revising existing policies or procedures, etc.) the College will be taking, expected timelines and any barriers to implementation.	nsulting stakeholders, or

			practice, and practice guidelines are up to date and relevant to the current practice environment (e.g., h needs, public/societal expectations, models of care, clinical evidence, advances in technology).	where appropriate, reflective of
5:	D 8	Required Evidence	College Response	
	DAR	a. The College regularly evaluates	The College fulfills this requirement:	Met in 2023, continues to meet in 2024
DOMAIN	STANDARD	on the current practice	<ul> <li>Please insert a link to document(s) that outline how the College evaluates its policies, standards of practice, and practice and relevant to the current practice environment and indicate the page number(s) <i>OR</i> please briefly describe the College triggers an evaluation, how often are evaluations conducted, what steps are being taken, which stakeholders are being they involved).</li> <li>CPSO continues to meet this requirement as outlined in the 2020 CPMF report (p.29).</li> <li>If the response is "partially" or "no", describe the College's plan to fully implement this measure. Outline the steps (i.e., draftir)</li> </ul>	e's evaluation process (e.g., what engaged in the evaluation and how are
		environment. Benchmarked Evidence	reviewing/revising existing policies or procedures, etc.) the College will be taking, expected timelines and any barriers to imple	

	b. Provide information on how	The College fulfills this requirement:	Yes
	the College takes into account the following components when	<ul> <li>Please insert a link to document(s) that outline how the College develops or amends its policies, standards of practice, and pra- address the listed components and indicate the page number(s) OR please briefly describe the College's development and ame</li> </ul>	
	practice guidelines.	As outlined in the <u>2021 CPMF report</u> (p.36), the policy review process is multi-staged. Once a policy review is launched, t undertaken:	he following steps are
	<ul> <li>ii. the risk posed to patients / the public;</li> <li>iii. the current practice environment;</li> <li>iv. alignment with other health regulatory Colleges (where appropriate, for example where practice</li> </ul>	<ul> <li>An analysis of any available CPSO data regarding complaints, investigations, or discipline findings.</li> <li>A review of any information provided by staff, including from the CPSO's Physician Advisory Service and the Patie</li> <li>A comprehensive literature review of available data, evidence, and academic literature on the topic.</li> <li>A jurisdictional scan of other Canadian medical regulatory authorities and other Ontario health colleges, where r</li> <li>Marketing and promotion for <u>external consultations</u> seeking feedback from all stakeholders, physicians, and mer days, but extended in some cases). The consultation process involves broad and targeted announcements and divia an internal database of interested parties.</li> <li>Facilitation of patient engagement activities, including the involvement of the Citizen Advisory Group, public poll summits where appropriate.</li> </ul>	elevant. mbers of the public (typically 60 irect invitations to participate
	v. expectations of the public; and vi. stakeholder views and	All of the above research and feedback from the public, physicians, and stakeholder organizations inform the developme examined through the lens of implementing right-touch regulation and ensuring CPSO's public mandate is being fulfilled circulated for external consultation again. Revisions are then made in response to additional feedback from these same g undertaken with the assistance of a Policy Working Group comprised of a diverse group of physicians and Public Director staff.	. The draft policy is then groups. All of this work is
		The Board must approve all CPSO draft policies prior to external consultation, and all revised policies must again be appr becoming a policy of CPSO. A new process has been implemented in 2024 where the Board also has an opportunity to er and provide detailed feedback on each policy after it has been released for external consultation. Each decision point is s of a briefing note highlighting the various factors considered for the key policy changes being proposed (see e.g. Board m <i>Essentials of Medical Professionalism</i> final resource (pp. 65-72); the rescission of the <u>Cannabis for Medical Purposes</u> poli <u>Behaviour</u> final policy (pp. 73-80); and the <u>Reporting Requirements</u> final policy (pp. 138-157).	ngage in small group discussions supported by the development naterials regarding the
		CPSO also developed a new <u>Infection Prevention and Control for Clinical Office Practice</u> policy (pp. 81-91), to address an i environment. CPSO worked with Public Health Ontario to align this policy with their guidance regarding infection preven	• • • •

Outside of the normal policy review cycle, CPSO continuously monitors the external environment to determine whether new or revised policy expectations are necessary. This includes keeping apprised of relevant legislative and regulatory developments, court cases, government announcements, revisions to guidance provided by other health Colleges, and changes in physician practice. For example, key changes were made to the <u>Continuity of Care: Advice to the Profession</u> document to clarify physician responsibilities related to using e-referral platforms and to specify instances in which it may be appropriate for specialists to refer patients back to their family physicians for follow-up care. The changes were made in response to concerns CPSO was receiving from family physicians about administrative burnout and the significant challenges faced by all physicians as pressure on the health system continues to rise. CPSO also developed a new <u>Advice to the Profession</u>: Al Scribes in Clinical Practice document to provide physicians with guidance on the rapidly evolving Artificial intelligence (AI) technology space, and the way it may impact the delivery of health care.

CPSO also develops patient focused resources when needed, to assist patients in understanding what they can expect from their physician. In 2024 CPSO has been developing a patient companion public resource, based on CPSO's recently updated <u>Human Rights in the Provision of Health Services</u> policy. This resource explains the policy expectations in plain language, details what patients can expect from physicians when they are accessing health services, and outlines what patients can do to support their own care. The CAG was consulted as part of the development of this resource, and constructive suggestions from CAG Members were incorporated to improve the resource's readability and better explain the policy expectations in plain language.

If the response is "partially" or "no", describe the College's plan to fully implement this measure. Outline the steps (i.e., drafting policies, consulting stakeholders, or reviewing/revising existing policies or procedures, etc.) the College will be taking, expected timelines and any barriers to implementation.

	c.	The College's policies, guidelines, standards and	The College fulfills this requirement:	Yes
	Code of Ethics should	• Please briefly describe how the College reviews its policies, guidelines, standards and Code of Ethics to ensure that they promote	e Diversity, Equity and Inclusion.	
		promote Diversity, Equity, and Inclusion (DEI) so that	• Please highlight some examples of policies, guidelines, standards or the Code of Ethics where Diversity, Equity and Inclusion are	reflected.
		provided by the registrants of the College.	Consistent with and building on the overview provided in the <u>2021 CPMF report</u> (p. 37), a number of actions are taken to informed by and promote the principles and values of an EDI perspective. As comprehensively outlined in Standards 5 and 6 above, CPSO routinely engages with the CAG to hear from a diverse por to ensure all policy decision-making is informed by the experiences and expectations of Ontarians, including those from o outlined above, significant effort has been made to increase the diversity of this group to ensure the feedback is informed represented in the Ontario population. In addition, in 2024, CPSO's Board of Directors approved a new resource, <u>Essentials of Medical Professionalism</u> (pp. 65-72 related to professional ethics. This new resource articulates the values and duties at the core of medical practice, and it p	opulation of Ontarians in order equity-seeking groups. As ed by the diverse perspectives 2), which sets out expectations
			responsibilities related to EDI. The content of <i>Essentials of Medical Professionalism</i> was informed by feedback from phys variety of backgrounds gathered through consultations and targeted engagement (e.g., physician-patient roundtable on <i>Essentials of Medical Professionalism</i> replaced the <i>Practice Guide</i> as CPSO's key resource on medical professionalism.	icians and patients from a wide
			If the response is "partially" or "no", is the College planning to improve its performance over the next reporting period?	Choose an item.
			Additional comments for clarification (optional)	

		Measure: 9.1 Applicants meet all Colleg	ge requirements before they are able to practice.	
DOMAIN 6: SUITABILITY TO PRACTICE	STANDARD 9	Required Evidence a. Processes are in place to ensure that those who meet the registration requirements receive a certificate to practice (e.g., how it operationalizes the registration of members, including the review and validation of submitted documentation to detect fraudulent documents, confirmation of information from supervisors, etc.) <sup>1</sup> .	College Response         The College fulfills this requirement:         • Please insert a link that outlines the policies or processes in place to ensure the documentation provided by candidates may are number OR please briefly describe in a few words the processes and checks that are carried out.         • Please insert a link and indicate the page number OR please briefly describe an overview of the process undertaken registration processes to ensure documentation provided by candidates meets registration requirements (e.g., com jurisdictions to secure records of good conduct, confirmation of information from supervisors, educators, etc.).         CPSO continues to meet this requirement as outlined in the 2020 CPMF report (p. 31).	to review how a College operationalizes its

<sup>&</sup>lt;sup>1</sup> This measure is intended to demonstrate how a College ensures an applicant meets every registration requirement set out in its registration regulation prior to engaging in the full scope of practice allowed under any certificate of registration, including whether an applicant is eligible to be granted an exemption from a particular requirement.

If the response is "partially" or "no", is the College planning to improve its performance over the next reporting period?	Choose an item.
Additional comments for clarification (optional)	l .
The College fulfills this requirement:	Yes
Please insert a link that outlines the policies or processes in place for identifying best practices to assess whether an appli	ant meets registration requirements
(e.g., how to assess English proficiency, suitability to practice etc.), a link to Council meeting materials where these have b	een discussed and decided upon and
indicate page numbers <b>OR</b> please briefly describe the process and checks that are carried out.	
Descension of the date when the criteria to access registration requirements was last reviewed and undated	
• Please provide the date when the chiteria to assess registration requirements was last reviewed and updated.	
regulators (FNDAC) the continuing Colleges (Devia) College of Devisions and Suggeons of Canada and the College of Ca	
Optaria madical schools	The the second all sec
. The many changes detailed below are the result of CPSO's ongoing efforts to review its registration policies and evalu	ate whether additional
registration pathways can be explored to improve access for IEPs seeking license to practise independently in Ontario	
and Policies were approved by the Board in 2024 <sup>.</sup>	
Practice Ready Ontario – Practice Ready Assessment	
In 2023, the Registration Committee developed a policy to facilitate the Practice Ready Assessment (PRA) program de	signed by Practice Ready Ontario
	• • •
	•
until they have successfully obtained certification by examination with the College of Family Physicians of Canada.	
While Touchstone Institute is responsible for establishing the program eligibility requirements, the Registration Comr	nittee consulted with Touchstone
	<ul> <li>The College fulfills this requirement:</li> <li>Please insert a link that outlines the policies or processes in place for identifying best practices to assess whether an applic (e.g., how to assess English proficiency, suitability to practice etc.), a link to Council meeting materials where these have be indicate page numbers <i>OR</i> please briefly describe the process and checks that are carried out.</li> <li>Please provide the date when the criteria to assess registration requirements was last reviewed and updated.</li> <li>As outlined in the <u>2021 CPMF report</u> (p. 39), CPSO routinely evaluates its registration requirements. The CPSO has num candidates to be registered outside of the requirements prescribed in the Regulation. The CPSO engages in dialogue regulators (FMRAC), the certifying Colleges (Royal College of Physicians and Surgeons of Canada and the College of Fair Ontario medical schools.</li> <li>The many changes detailed below are the result of CPSO's ongoing efforts to review its registration policies and evaluate registration pathways can be explored to improve access for IEPs seeking license to practise independently in Ontario and Policies were approved by the Board in 2024:</li> <li>Practice Ready Ontario – Practice Ready Assessment</li> <li>In 2023, the Registration Committee developed a policy to facilitate the Practice Ready Assessment (PRA) program de (PRO). In 2024, the first cohort of physicians selected by PRO were considered by the Registration committee for cert a 12-week clinical field assessment (CFA). 29 candidates were approved to begin the CFA in spring and summer of 202 assessment and have subsequently applied for, or been granted a restricted certificate of registration to practice under the restrice of the registration committee of the restrice and the registration committee for certificate of registration committee for certificate of the registration committee for certificate of the field assessment (CFA). 29 candidates were approved to begin the CFA in spring and summer</li></ul>

higher transparency for IEPs looking to apply to the PRA program.
Subsequently, 12 candidates in Cohort Two clinical field assessments will commence end of 2024.
Alternative Pathways to Registration for Physicians Trained in the United State <u>Policy</u> - Addition of certification by the American Osteopathic Association
At the business meeting in March 2024, the Registration Committee approved several policy amendments that were later approved by the Executive Committee in August 2024. The policy on Alternative Pathways to Registration for Physicians Trained in the United States was updated to incorporate the Accreditation Council for Graduate Medical Education's (ACGME) accreditation of postgraduate osteopathic medicine training programs in the U.S To recognize the newly accredited programs, the Pathways Policy was updated to grant certificates of registration to physicians who have completed ACGME-accredited training and have obtained board certification through either the American Board of Medical Specialties (ABMS) or the American Osteopathic Association (AOA). Physicians who are board-certified and have completed training can receive a restricted, scope-defined license (Pathway A), whereas those who are board-eligible can apply for a restricted certificate to practice under supervision for up to three years, or until the achieve certification by exam (Pathway C). The policy amendment aligns with changes to ACGME-accredited programs and removes barriers for physicians certified by the AOA.
Conversion from Restricted to Independent Practice Certificates of Registration
The Alternative Pathways Policy currently grants US-trained physicians a scope-restricted certificate of registration to practice in Ontario. Following a scan of other Canadian jurisdictions and upon review of the FMRAC Model Standards, a proposal was made to revise the current policy to enable licensed physicians to obtain a certificate of registration authorizing independent practice after 5 years of continuous practice in Ontario. The propose revisions would provide US-trained physicians an alternative route to independent licensure without the need to complete additional training or examinations and would create more clarity for the public with regards to the scope of physicians in Canada.
At the business meeting on October 25, 2024, the Registration Committee approved the proposed revision to the Pathways Policy, which will be presented for approved the proposed revision to the Pathways Policy, which will be presented for approved the proposed revision to the Pathways Policy, which will be in accordance with Section 22.21 of the Health Professions Procedural Code (the Code in early 2025.
Draft policies can be viewed in the public <u>Board package.</u>
Amendments to the Acceptable Qualifying Exams Policy
The Comprehensive Osteopathic Medical Licensing Examination of the United States (COMLEX-USA) Level 2 has historically had two components: the Level 2 examination and a Level 2 performance evaluation. The performance evaluation was suspended in February 2021 and officially discontinued ir June 2022. As such, the Registration Committee approved that reference to the Level 2 Performance Evaluation should be rescinded from the policy.
 51   Page

This change clarifies examination requirements for IEPs looking to work in Canada.
Royal College of Physicians and Surgeons of Canada – Practice Eligibility Route (RCPSC-PER)
The Registration Committee, at its business meeting on October 25, 2024, approved a new policy to be presented for approval by the Board and Executive Committee. The new policy permits the Registration Committee to grant a restricted, time-limited certificate of registration to physicians who are eligible for certification by the RCPSC via the Practice Eligibility Route. Physicians from outside of the RCPSC's approved jurisdictions may apply to the RCPSC to have their training assessed and be granted eligibility to sit RCPSC examinations. Following successful completion of RCPSC's written examination, and after obtaining LMCC designation, the physician may be granted a certificate to practice under supervision for 3 years, or until they fulfill the time-in-practice requirement and are certified by the RCPSC. Following successful certification by the RCPSC, this cohort of physicians would be eligible to apply for independent practice in Ontario.
The policy is another example of the Registration Committee's continued commitment to finding new ways to license IEPs in Ontario and provide registrants a route to independent practice. The policy, if approved, would also align the College with other Canadian jurisdictions who currently recognize the RCPSC Practice Eligibility Route. In November 2024, the Board approved that the policy be circulated for notice in accordance with Section 22.21 of the HPPC and it is anticipated that it will come forward for final approval in early 2025.
Specialist Recognition Criteria in Ontario Policy
In early 2024, the Registration Committee also approved an amendment to the Specialist Recognition Criteria in Ontario Policy to allow physicians approved under the revised Pathways Policy to be recognized as a specialist. Specialty may be conferred if a physician has completed postgraduate speciality training in an ACGME-accredited program and has obtained certification by examination with the AOA.
Additionally, the Registration Committee made a decision to further amend the Specialist Recognition Criteria in Ontario Policy at the business meeting on October 25, 2024. In November 2024, the Board approved that the amended policy be circulated for notice in accordance with Section 22.21 of the HPPC and it is anticipated that it will come forward for final approval in early 2025. The proposed amendment would allow for physicians approved under the draft policy, Restricted Certificate of Registration for RCPSC – Practice Eligibility Route, to be recognized as a specialist while practicing under supervision and obtaining certification with the RCPSC.
Fees
The Registration Committee recognized the hard work of residents in Ontario and supported the decision to waive application fees for residents who apply for "moonlighting". In addition, the Committee supported the waiving of application fees for residents from other Canadian provinces who come

to Ontario to complete an elective program.	
Application Review:	
In terms of credentialing, CPSO does not utilize third parties to assess or analyze credentials. All docum in-house.	nent credentialing/source verification is completed
Every application is supported by source documents, including Certificates of Professional Conduct (Cer an individual has practised medicine/been registered, confirmation of training and certification from t CPSO is the Canadian leader in source verification and complex credentialing and has a vast repository documentation. Further, CPSO completes periodic quality assurance checks with the source bodies verification which confirms the document is where it says it is from, CPSO conducts complex credenti the conduct/character and suitability to practice requirements.	the appropriate bodies, letters of reference, etc. of up-to-date resources to confirm authenticity of to ensure accuracy. As opposed to simple source
CPSO receives documentation electronically via password-protected documents sent from an in Memorandum of Agreement, or that are sent from a verifiable organizational email address/server cl	
CPSO may also receive source documentation via mail/courier in an official sealed and stamped env CPSO verifies the sender's address via the organization's website.	
CPSO may also receive source documentation via mail/courier in an official sealed and stamped env	velope from the source organization. Additionally,
CPSO may also receive source documentation via mail/courier in an official sealed and stamped env CPSO verifies the sender's address via the organization's website.	velope from the source organization. Additionally,
CPSO may also receive source documentation via mail/courier in an official sealed and stamped env CPSO verifies the sender's address via the organization's website. If the response is "partially" or "no", is the College planning to improve its performance over the next reporting p	velope from the source organization. Additionally,
CPSO may also receive source documentation via mail/courier in an official sealed and stamped env CPSO verifies the sender's address via the organization's website. If the response is "partially" or "no", is the College planning to improve its performance over the next reporting p	velope from the source organization. Additionally,
CPSO may also receive source documentation via mail/courier in an official sealed and stamped env CPSO verifies the sender's address via the organization's website. If the response is "partially" or "no", is the College planning to improve its performance over the next reporting p	velope from the source organization. Additionally,
CPSO may also receive source documentation via mail/courier in an official sealed and stamped env CPSO verifies the sender's address via the organization's website. If the response is "partially" or "no", is the College planning to improve its performance over the next reporting p	velope from the source organization. Additionally,

5.2 Registrants continuously	demonstrate they are competent and practice safely and ethically.	
c. A risk-based approach is used to ensure that currency <sup>2</sup> and	The College fulfills this requirement:	Yes
other competency	Please briefly describe the currency and competency requirements registrants are required to meet	t.
requirements are monitored and regularly validated (e.g.,	Please briefly describe how the College identified currency and competency requirements.	
procedures are in place to	• Please provide the date when currency and competency requirements were last reviewed and update	ated.
verify good character, continuing education, practice hours requirements etc.).	• Please briefly describe how the College monitors that registrants meet currency and competency r and how frequently this is done.	requirements (e.g., self-declaration, audits, ran
-	As outlined in the 2021 CPMF report (pp. 40-41), CPSO has robust processes in place to support	rt ongoing monitoring and support of phys
	competence and fitness to practice. All physicians must remain qualified, competent, and fit to	o practise medicine within their scope of p
	times.	
	There are several factors required to consistently maintain the necessary knowledge, skills, an	
	set out in the <u>Ensuring Competence: Changing Scope of Practice and/or Re-entering Practice</u> po policy revision involved a thorough review of the literature, an environmental scan looking to o	
	In terms of ongoing education, the Quality Assurance Regulation of the College requires regist Professional Development (CPD) requirements of one of the following three bodies:	rants to be registered with and meet the (
	rolessional Development (CrD) requirements of one of the following three bodies.	
	<ul> <li>the College of Family Physicians of Canada (CFPC),</li> </ul>	
	<ul> <li>the Royal College of Physicians and Surgeons of Canada (RCPSC), or</li> <li>the Medical Psychotherapy Association of Canada (MPAC).</li> </ul>	
	Every year on the Annual Membership Renewal, registrants are asked to attest that they are e	nrolled with one of the aforementioned b
	compliant with their respective CPD requirements.	
	In addition, CPSO's suite of <u>Quality Improvement (QI) programs</u> are built to ensure Ontario's p	hysicians are engaging in self-reflection ar
		hysicians are engaging in self-reflec

These programs take a strategic, data-driven approach towards engaging physicians in continuous quality improvement and ensuring they are delivering the best possible care to Ontario patients. This approach will connect with more physicians more regularly to create the most favourable conditions to ensure their success at all stages of their careers.

CPSO's QI Program for individual physicians builds on the principles of right-touch regulation and CPSO's commitment to fulfilling its mandate to ensure quality care for patients in Ontario. The QI Program is proactive, self-directed, and encourages physicians to reflect on their own delivery of health care. Among the QI program options available for registrants to choose from are the QI Survey, the Practice Profile, the Self-Guided Chart Review, the Data-Driven Quality Improvement Tool, the Practice Improvement Plan and One-on-One Coaching. In line with right touch regulation, CPSO has expanded its Quality Program options to include the QI Enhanced program in 2024 - a QI program option now open to physicians 70-79 years of age. The QI Program is comprised of multiple learning activities which may earn participating physicians CPD credits.

In terms of conduct/character requirements, all applicants must satisfy the non-exemptible requirement for registration:

2. (1) It is a non-exemptible standard and qualification for a certificate of registration that the applicant's past and present conduct afford reasonable grounds for belief that the applicant,

(a) is mentally competent to practise medicine;

(b) will practise medicine with decency, integrity and honesty and in accordance with the law;

(c) has sufficient knowledge, skill and judgment to engage in the kind of medical practice authorized by the certificate; and

(d) can communicate effectively and will display an appropriately professional attitude. O. Reg. 865/93, s. 2 (1).

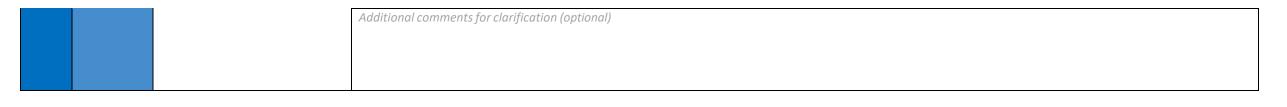
Applicants are asked a series of targeted questions on the application form to assess their conduct and character requirements.

As part of the credentialing process, all applicants must submit a criminal record check conducted within the previous 6 months. In addition, all applicants are required to disclose any professional misconduct, remediation, or adverse action against them. Where an application does not meet the requirements for registration, the applications is referred to CPSO's Registration Committee to determine whether the applicant could qualify for a certificate of registration to practise medicine in Ontario.

On an annual basis through the membership renewal process, registrants are asked to provide updates on a variety of questions, including whether they have been subject to any disciplinary action, privilege changes, criminal charges, etc. since the previous renewal. This allows the CPSO to monitor whether they are continuing to meet the mandatory conduct and character requirements, and take further action if needed.

If the response is "partially" or "no", is the College planning to improve its performance over the next reporting period?

Choose an item.



<sup>2</sup> A 'currency requirement' is a requirement for recent experience that demonstrates that a member's skills or related work experience is up to date. In the context of this measure, only those currency requirements assessed as part of registration processes are included (e.g., during renewal of a certificate of registration, or at any other time).

Measure:					
9.3 Registration practices are transparent, objective, impartial, and fair.					
a. The College addressed all	The College fulfills this requirement:	Met in 2023, continues to meet in 2024			
recommendations, actions for improvement and next	• Please insert a link to the most recent assessment report by the OFC <b>OR</b> please provide a summary of outcome assessment	it report.			
steps from its most recent	Where an action plan was issued, is it: Choose an item.				
Audit by the Office of the					
Fairness Commissioner (OFC).	CPSO continues to meet this requirement as outlined in the <u>2020 CPMF report</u> (p.34) and publishes all reports to t	the Ontario Fairness Commissioner on			
	our <u>website</u> .				
	If the response is "partially" or "no", is the College planning to improve its performance over the next reporting period?	Choose an item.			
	Additional comments for clarification (if needed)				

Required Evidence	College Response		
a. Provide examples of how the College assists registrants in implementing required changes to standards of practice or practice guidelines (beyond communicating the existence of new standard, FAQs, or supporting documents).	<ul> <li>The College fulfills this requirement:</li> <li>Please briefly describe a recent example of how the College has assisted its registrants in the uptake of a new or amended <ul> <li>Name of Standard</li> <li>Duration of period that support was provided</li> <li>Activities undertaken to support registrants</li> <li>% of registrants reached/participated by each activity</li> <li>Evaluation conducted on effectiveness of support provided</li> </ul> </li> <li>Does the College always provide this level of support: <i>If not, please provide a brief explanation:</i></li> </ul>	Met in 2023, continues to meet in 202 I standard:	
implementing changes to	CPSO continues to meet this requirement as outlined in the <u>2020 CPMF report</u> (p.34).		
standards of practice or guidelines. Such activities could include carrying out a follow-up	If the response is "partially" or "no", is the College planning to improve its performance over the next reporting period? Additional comments for clarification (optional)	Choose an item.	
survey on how registrants are adopting updated standards of practice and addressing identifiable gaps.			

DOMAIN 6: SUITABILITY STANDARD 10

TO PRACTICE

a. The College has processes	The College fulfills this requirement:	Met in 2023, continues to meet in 2024
<ul> <li>and policies in place outlining:</li> <li>i. how areas of practice that are evaluated in QA assessments are identified in order to ensure the most impact on the quality of a registrant's practice;</li> </ul>	<ul> <li>Please list the College's priority areas of focus for QA assessment and briefly describe how they have been identified <i>O</i> this information can be found and indicate the page number.</li> <li>Is the process taken above for identifying priority areas codified in a policy: Choose an item.</li> <li>If yes, please insert link to the policy.</li> </ul>	<b>R</b> please insert a link to the website where
	If the response is "partially" or "no", is the College planning to improve its performance over the next reporting period? Additional comments for clarification (optional)	Choose an item.

<sup>&</sup>lt;sup>3</sup> "Right touch" regulation is an approach to regulatory oversight that applies the minimal amount of regulatory force required to achieve a desired outcome. (Professional Standards Authority Right Touch Regulation. https://www.professionalstandards.org.uk/publications/right-touch-regulation).

ii. details of how the College	The College fulfills this requirement:	Met in 2023, continues to meet in 2024
uses a right touch, evidence informed approach to determine which registrants will undergo an assessment activity (and which type of multiple assessment activities); and	<ul> <li>Please insert a link to document(s) outlining details of right touch approach and evidence used (e.g., data, literature, exa and indicate page number(s).</li> <li>OR please briefly describe right touch approach and evidence used.</li> <li>Please provide the year the right touch approach was implemented OR when it was evaluated/updated (if applicable). If evaluated/updated, did the college engage the following stakeholders in the evaluation:         <ul> <li>Public</li> <li>Choose an item.</li> <li>Employers</li> <li>Choose an item.</li> <li>other stakeholders</li> <li>Choose an item.</li> <li>Other stakeholders</li> <li>Choose an item.</li> <li>Other stakeholders</li> </ul> </li> <li>Choose an item.</li> <li>Other stakeholders</li> <li>Choose an item.</li> <li>Other stakeholders</li> </ul> <li>Choose an item.</li> <li>Other stakeholders</li> <li>Choose an item.</li> <li>Other stakeholders</li> <li>Choose an item.</li>	,
	If the response is "partially" or "no", is the College planning to improve its performance over the next reporting period? Additional comments for clarification (optional)	Choose an item.
<li>iii. criteria that will inform the remediation activities a registrant must undergo based on the QA assessment, where necessary.</li>	The College fulfills this requirement: <ul> <li>Please insert a link to the document that outlines criteria to inform remediation activities and indicate page number <b>O</b>I</li> </ul> CPSO continues to meet this requirement as outlined in the <u>2020 CPMF report</u> (p. 36).	Met in 2023, continues to meet in 2024 <b>R</b> list criteria.
	If the response is "partially" or "no", is the College planning to improve its performance over the next reporting period?	Choose an item.

		Additional comments for clarification (optional)	
	Measure: 10.3 The College effectively	y remediates and monitors registrants who demonstrate unsatisfactory knowledge, skills, and judgement.	
		The College fulfills this requirement:	Yes
	of remediation activities a registrant is directed to		iefly describe the process.
	undertake as part of any College committee and assesses whether the registrant subsequently demonstrates the required knowledge, skill and judgement while practicing.	<ul> <li>Please insert a link to the College's process for determining whether a registrant has demonstrated the knowledge, skills an OR please briefly describe the process.</li> </ul>	nd judgement following remedia
		As outlined in the <u>2021 CPMF report</u> (p. 45), the Quality Assurance Committee (QAC) can request the registrant under reassessment that focuses on identified areas of concern to ensure that the registrant has fulfilled the requirements.	This is based on the registrar
		If there are clinical concerns identified following the OTA process and/or the physician has no insight into their deficient under s. 80.2 to resolve the matter via SCERP (Specified Continuous Educational Remediation Program). The SCERP is Compliance Monitoring and Supervision area. Compliance notifies the QAC when the SCERP elements have been succ the matter to the QAC for a reassessment to ensure that the remediation plan has been successful.	monitored by the College's
		If the registrant wishes to resolve the matter by way of an Educational Undertaking, this undertaking is also monitore Monitoring and Supervision Department. The Individual Education Plan is developed in consultation with the QAC, w Undertaking. In these situations, the reassessment is completed by the Compliance Monitoring and Supervision depa reassessment are not conveyed to the QAC as these matters remain outside of the QAC "black box" of information.	hich is attached as part of the
		https://www.cpso.on.ca/en/Physicians/Your-Practice/Quality-Management/Assessments/Peer-Assessment	

	Additional comments for clarification (if needed)

Measure 11.1		
The College enables and supports anyone who raises a concern about a registrant.		
Required Evidence	College Response	
complaints process and all relevant supports available to complainants are: i. supported by formal policies and procedures to ensure all relevant	The College fulfills this requirement:       Yes          Please insert a link to the College's website that clearly describes the College's complaints process including, options to resolve a complaint, the potential outcomes associated with the respective options and supports available to the complainant.             Please insert a link to the polices/procedures for ensuring all relevant information is received during intake <i>OR</i> please briefly describe the policies and procedures if the documents are not publicly accessible.             As outlined in the 2021 CPMF report (p. 46), Investigations uses the following to ensure all relevant information is received during all stages of an investigation: <ul> <li>Process guides for</li> <li>Alternative Dispute Resolution (ADR)</li> <li>Assession Interviews</li> <li>Complaints made in bad faith</li> <li>Consent for personal health information</li> <li>Disclosure during an investigation</li> <li>Early resolution process</li> <li>Investigation process</li> <li>Investigative report writing</li> <li>OHIP &amp; Narcotics Monitoring System guide</li> </ul> <li>Complainants are typically contacted within two business days to confirm their concerns</li> <ul> <li>Complainants are provided with information, both verbal and written, on the investigative process, along with Frequently Asked Questions</li> <li>Information about the investigative process can be found on the CPSO website</li> <li>Complainants are typically contacted within two business days to confirm their concerns</li> <li>Complainants are provided with information, both verbal and written, on the investigative process, along with Frequently Asked Questions</li></ul>	

STANDARD 11

	<ul> <li>The Patient and Public Help Centre <u>website</u> is another useful web page where patients and members of and links to resources outside of the CPSO</li> <li>In addition, the former CPSO EDI Lead worked with leadership in Investigations and Resolutions and Senior Legal Counse managing complaints of discrimination. The ICRC has been provided with the relevant tools, information, and training to complaints of discrimination through the appropriate lens (e.g. anti-racism lens). Tools were also developed to support t appropriate language and context are employed in the writing of the decision.</li> </ul>	I to develop a new process for assist members in examining
	If the response is "partially" or "no", is the College planning to improve its performance over the next reporting period?	Choose an item.
	Additional comments for clarification (optional)	

	iii. evaluated by the College to	The College fulfills this requirement:	Yes
	ensure the information provided to complainants is clear and useful.	Please provide details of how the College evaluates whether the information provided to complainants is clear and user	ful.
		See response to 11.1.a. above	
	Deneminarkea Evidence	If the response is "partially" or "no", describe the College's plan to fully implement this measure. Outline the steps (i.e., draf reviewing/revising existing policies or procedures, etc.) the College will be taking, expected timelines and any barriers to imp	
	b. The College responds to 90% of inquiries from the public	The College fulfills this requirement:	Met in 2023, continues to meet in 2024
	within 5 business days, with follow-up timelines as necessary.	Please insert rate (see Companion Document: Technical Specifications for Quantitative CPMF Measures).	
		CPSO continues to meet this requirement as outlined in the 2021 CPMF report (p. 47).	
		If the response is "partially" or "no", is the College planning to improve its performance over the next reporting period?	Choose an item.
		Additional comments for clarification (optional)	

	c. Demonstrate how the College	The College fulfills this requirement:	Met in 2023, continues to meet in 2024
	supports the public during the complaints process to	Please list supports available for the public during the complaints process.	
	ensure that the process is inclusive and transparent	• Please briefly describe at what points during the complaints process that complainants are made aware of supports available.	
	access outside regular business hours, transparency in decision-making to make	CPSO continues to meet this requirement as outlined in the <u>2021 CPMF report</u> (p. 48).	
	sure the public understand how the College makes	If the response is "partially" or "no", is the College planning to improve its performance over the next reporting period?	Choose an item.
	decisions that affect them etc.).	Additional comments for clarification (optional)	
-	Measure: 11.2 All parties to a compla the process.	int and discipline process are kept up to date on the progress of their case, and complainants are supported t	o participate effectively in
	a. Provide details about how the		Yes
	College ensures that all parties are regularly updated on the progress of their complaint or discipline case, including how	<ul> <li>Please insert a link to document(s) outlining how complainants can contact the College during the complaints process and indicat provide a brief description.</li> </ul>	
	complainants can contact the College for information (e.g., availability and accessibility to	provide a brief description.	
	relevant information,	As outlined in the <u>2021 CPMF report</u> (p.49), an intake investigator contacts the complainant within 2 business days of re- intake investigator assesses the complaint for risk, reviews the complaints process with the complainant, explores the in confirms their concerns. The intake investigator will identify cases appropriate for Alternative Dispute Resolution; these mediator.	tention of their complaint, and

During an investigation, the complainant is kept up to date by the investigator every 3-4 weeks on the status of their complaint. The complainant is contacted when the investigation has been listed for ICRC review.
The complainant is sent a copy of the ICRC decision immediately upon release, which is usually within 8 weeks.
If a matter is referred to the Ontario Physicians and Surgeons Discipline Tribunal, the Witness Support Coordinator establishes and maintains regular contact with witnesses to assist in scheduling witnesses for hearings and to provide direct support to those testifying at a hearing.
The Witness Support Coordinator will follow up with witnesses regarding the outcome and decisions of the OPSDT, provide updates and involve witnesses in penalty hearings, and provide some guidance and structure for witness impact statements if required.
Language translation services are available, either in the moment through a translation service or by sending documents out for translation.
If the response is "partially" or "no", is the College planning to improve its performance over the next reporting period? Choose an item.

			Additional comments for clarification (optional)	
DOMAIN 6: SUITABILITY TO PRACTICE	STANDARD 12	Measure: 12.1 The College addresses	complaints in a right touch manner.	
		a. The College has accessible, up- to-date, documented guidance setting out the framework for assessing risk and acting on complaints, including the prioritization of investigations, complaints, and reports (e.g., risk matrix, decision matrix/tree, triage protocol).	<ul> <li>The College fulfills this requirement:</li> <li>Please insert a link to guidance document and indicate the page number <i>OR</i> please briefly describe the framework a</li> <li>Please provide the year when it was implemented <i>OR</i> evaluated/updated (if applicable).</li> <li>CPSO continues to meet this requirement as outlined in the <u>2021 CPMF report</u> (p. 50).</li> </ul>	continues to meet in 2024 being applied.
DOMAIN 6			If the response is "partially" or "no", is the College planning to improve its performance over the next reporting period? Additional comments for clarification (optional)	 Choose an item.

	13	Measure:		
AIN 6:	STANDARD	13.1 The College demonst government, etc.).	trates that it shares concerns about a registrant with other relevant regulators and external sy	ystem partners (e.g. law enforcement,
DOMAIN		a. The College's policy outlining consistent criteria for disclosure and examples of the general circumstances and type of information that has been shared between the College and other relevant system partners, within the legal framework, about concerns with individuals and	<ul> <li>Please insert a link to the policy and indicate page number <i>OR</i> please briefly describe the policy.</li> <li>Please provide an overview of whom the College has shared information with over the past year and the purpose of system partner, such as 'hospital', or 'long-term care home').</li> </ul>	Met in 2023, continues to meet in 2024 of sharing that information (i.e., general sectors
SUITABILITY TO PRACTICE		any results.	If the response is "partially" or "no", is the College planning to improve its performance over the next reporting period? Additional comments for clarification (if needed)	Choose an item.

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	] [	Measure: 14.1 Council uses Key Perfor impact the College's perfo	rmance Indicators (KPIs) in tracking and reviewing the College's performance and regularly reviews interr rmance.	al and external risks that could
	<b>RD</b>	Required Evidence	College Response	
	ANDA	a. Outline the College's KPIs,	The College fulfills this requirement: Me	et in 2023, continues to meet in 2024
DOMAIN 7: MEASUREMENT, REPORTING & IMPROVEMENT	STA 14	including a clear rationale for why each is important.	<ul> <li>Please insert a link to a document that list College's KPIs with an explanation for why these KPIs have been selected (inc KPIs tells, and how it relates to the College meeting its strategic objectives and is therefore relevant to track), a link to information is included and indicate page number <i>OR</i> list KPIs and rationale for selection.</li> <li>CPSO KPIs are reported to the Board at each meeting, comprising a balanced score card and evaluated/set annuall by the Board can be found in the meeting <u>minutes</u> from the Board's February/March 2024 meeting (p. 20).</li> </ul>	Council meeting materials where this
RE			If the response is "partially" or "no", is the College planning to improve its performance over the next reporting period?	Choose an item.

	Additional comments for clarification (if needed)	
<ul> <li>b. The College regularly reports to Council on its performance and risk review against: <ol> <li>stated strategic objectives</li> <li>(i.e., the objectives set out in a College's strategic plan);</li> <li>regulatory outcomes (i.e.,</li> </ol> </li> </ul>	<ul> <li>The College fulfills this requirement:</li> <li>Please insert a link to Council meeting materials where the College reported to Council on its progress against stated strate and risks that may impact the College's ability to meet its objectives and the corresponding meeting minutes and indicate</li> <li>CPSO continues to meet this requirement as outlined in the <u>2021 CPMF report</u> (pp.51-52).</li> </ul>	
operational indicators/targets with reference to the goals we are expected to achieve under the RHPA); and iii. its risk management approach.	If the response is "partially" or "no", is the College planning to improve its performance over the next reporting period? Additional comments for clarification (if needed)	Choose an item.

Measure:			
14.2 Council directs action i	n response to College performance on its KPIs and risk reviews.		
a. Council uses performance and	The College fulfills this requirement:	Yes	
risk review findings to identify where improvement activities are needed.			
	As outlined in the 2021 CPMF report (p.52), the Board routinely assesses risk to support improvement activities. Reporting on KPIs to the Board hel		
Benchmarked Evidence	Benchmarked Evidence to identify areas of risk and support the enhancement of future targets.		
	Continuous Improvement is one of the five elements of CPSO's Strategic Plan. To achieve continuous improven	nent, CPSO fosters a culture of	
	continuous improvement and openness to change; and is modernizing all aspects of its work to fulfill this mission. Over the past year, staff have		
	continued to complete training in the LEAN methodology so that it can be applied across all areas of the organization (including through the role of		
Lean Sensei whose mandate was transition those skills to <u>CPSO leadership</u> ). This continuous improvement approacl identification, assessment, and mitigation with a yearly catchball session to identify gaps risks and the following yea			
Measure: 14.3 The College regularly reports publicly on its performance. a. Performance results related to a The College fulfills this requirement: Met in 2023, continues to meet in 2024			
Collogo's stratagic objectives			
College's strategic objectives and regulatory outcomes are	<ul> <li>Please insert a link to the College's dashboard or relevant section of the College's website.</li> </ul>		
	• Please insert a link to the College's dashboard or relevant section of the College's website. CPSO continues to meet this requirement as outlined in the <u>2020 CPMF report</u> (p. 44).		

	Additional comments for clarification (if needed)

# **Part 2: Context Measures**

The following tables require Colleges to provide **statistical data** that will provide helpful context about a College's performance related to the standards. The context measures are non-directional, which means no conclusions can be drawn from the results in terms of whether they are 'good' or 'bad' without having a more in-depth understanding of what specifically drives those results.

In order to facilitate consistency in reporting, <u>a recommended method to calculate the information is provided in the companion document</u> "Technical Specifications for Quantitative College Performance Measurement Framework Measures." However, recognizing that at this point in time, the data may not be readily available for each College to calculate the context measure in the recommended manner (e.g., due to differences in definitions), a College can report the information in a manner that is conducive to its data infrastructure and availability.

In those instances where a College does not have the data or the ability to calculate the context measure at this point in time it should state: 'Nil' and indicate any plans to collect the data in the future.

Where deemed appropriate, Colleges are encouraged to provide additional information to ensure the context measure is properly contextualized to its unique situation. Finally, where a College chooses to report a context measure using a method other than the recommended method outlined in the following Technical Document, the College is asked to provide the method in order to understand how the information provided was calculated.

The ministry has also included hyperlinks of the definitions to a glossary of terms for easier navigation.

# Table 1 – Context Measure 1

DOMAIN 6: SUITABILITY TO PRACTICE						
STANDARD 10						
Statistical data collected in accordance with the recommended method or the Co If a College method is used, please specify the rationale for its use:	llege's own method: Recommended					
Context Measure (CM)						
CM 1. Type and distribution of QA/QI activities and assessments used in CY 202	4*					
Type of QA/QI activity or assessment:	#					
. QI Individuals	3241	What does this information tell us? Quality assurance (QA) and Quality Improvement (QI) are critical components in ensuring that professionals provide				
i. QI Groups	244	care that is safe, effective, patient-centred and ethical. In addition, health care professionals face a number of ongoing changes that might impact how they				
ii. QI Partnerships	5337	practice (e.g., changing roles and responsibilities, changing public expectations, legislative changes).				
v. QA Assessments	698	The information provided here illustrates the diversity of QA activities the College				
OHP Assessments	105	undertook in assessing the competency of its registrants and the QA and Qu activities its registrants undertook to maintain competency in CY 2024. The diversity				
vi. Physicians referred to coaching	249	of QA/QI activities and assessments is reflective of a College's risk-based approach in executing its QA program, whereby the frequency of assessment and activities to				
ii. Completed Self-screening Questionnaire	15212	maintain competency are informed by the risk of a registrant not acting competently. Details of how the College determined the appropriateness of its				
viii. <insert activity="" assessment="" or="" qa=""></insert>		assessment component of its QA program are described or referenced by the College in Measure 10.2(a) of Standard 10.				
ix. <insert activity="" assessment="" or="" qa=""></insert>						
x. <insert activity="" assessment="" or="" qa=""></insert>						

* Registrants may be undergoing multiple QA activities over the course of the reporting period. While future iterations of the CPMF may evolve to capture the different permutations of pathways registrants may undergo as part of a College's QA Program, the requested statistical information recognizes the current limitations in data availability today and is therefore limited to type and	
distribution of QA/QI activities or assessments used in the reporting period.	
<u>NR</u>	
Additional comments for clarification (if needed)	

# Table 2 – Context Measures 2 and 3

DOMAIN 6: SUITABILITY TO PRACTICE							
STANDARD 10							
Statistical data collected in accordance with the recommended method or the College ow	n method: Recomm	n e n d e d					
If a College method is used, please specify the rationale for its use:							
Context Measure (CM)							
	#	%	What does this information tell us? If a registrant's knowledge, skills,				
<b>CM 2.</b> Total number of registrants who participated in the QA Program CY 2024	698		and judgement to practice safely, effectively, and ethically have been assessed or reassessed and found to be unsatisfactory or a registrant is non-compliant with a College's QA Program, the College may refer them to the College's QA Committee.				
<b>CM 3.</b> Rate of registrants who were referred to the QA Committee as part of the QA Program where the QA Committee directed the registrant to undertake remediation in CY 2024.		7.9%	The information provided here shows how many registrants who underwent an activity or assessment as part of the QA program where the QA Committee deemed that their practice is unsatisfactory and as a result have been directed to participate in specified continuing education or remediation program as of the start of CY 2024, understanding that some cases may carry over.				
NR							
Additional comments for clarification (if needed)							

# Table 3 – Context Measure 4

DOMAIN 6: SUITABILITY TO PRACTICE							
STANDARD 10							
Statistical data collected in accordance with the recommended method or the College's own method: Re	commenc	l e d					
If a College method is used, please specify the rationale for its use:							
Context Measure (CM)							
<b>CM 4.</b> Outcome of remedial activities as at the end of CY 2024:**	#	%	What does this information tell us? This information provides insight into the outcome of the College's remedial activities directed by the QA Committee and may				
I. Registrants who demonstrated required knowledge, skills, and judgement following remediation'	* 55	100%	help a College evaluate the effectiveness of its "QA remediation activities". Without additional context no conclusions can be drawn on how successful the QA				
II. Registrants still undertaking remediation (i.e., remediation in progress)	0		remediation activities are, as many factors may influence the practice and behaviour registrants (continue to) display.				
<u>NR</u> * This number may include registrants who were directed to undertake remediation in the previous year an **This measure may include any outcomes from the previous year that were carried over into CY 2024.	d complete	d reassessi	ment in CY 2024.				
Additional comments for clarification (if needed)							
-							

## Table 4 – Context Measure 5

DOMAIN 6: SUITABILITY TO PRACTICE							- <b>-</b>	
STANDARD 12								
	al data is collected in accordance with the recommended method or the College's own me lege method is used, please specify the rationale for its use: N/A – see below	ethod: Choo	ose an item.					
Contex	t Measure (CM)							
CM 5.	Distribution of formal complaints and Registrar's Investigations by theme in CY 2024	Formal received	Complaints	Registrar initiated	Investigations			
Theme	5:	#	%	#	%			
Ι.	Advertising							
١١.	Billing and Fees							
III.	Communication							
IV.	Competence / Patient Care					What does this information tell		
V.	Intent to Mislead including Fraud	Ì				facilitates transparency to the pu ministry regarding the most preva		
VI.	Professional Conduct & Behaviour	1				formal complaints received and H		
VII.	Record keeping	1				undertaken by a College.		
VIII.	Sexual Abuse	1						
IX.	Harassment / Boundary Violations							
Х.	Unauthorized Practice	1						
XI.	Qther <please specify=""></please>							
Total n	umber of formal complaints and Registrar's Investigations**		100%		100%	1		

	•
Formal Complaints	
<u>NR</u>	
Registrar's Investigation	
**The requested statistical information (number and distribution by theme) recognizes that formal complaints and Depictrar's Investigations may	
**The requested statistical information (number and distribution by theme) recognizes that formal complaints and Registrar's Investigations may	
include allegations that fall under multiple themes identified above, therefore when added together the numbers set out per theme may not equal	
the total number of formal complaints or Registrar's Investigations.	
Additional comments for clarification (if needed)	
Additional comments for clarification (if needed)	
The CPSO codes investigations upon closure of the file. The issues identified in an investigation are not available for ongoing cases.	

# Table 5 – Context Measures 6, 7, 8 and 9

DOM	AIN 6: SUITABILITY TO PRACTICE				- + -
STANI	DARD 12				
Statistic	cal data collected in accordance with the recommended method or the College's own method: R e c o m m	e n d e d			
lf a Coll	ege method is used, please specify the rationale for its use:				
Contex	t Measure (CM)				
CM 6.	Total number of formal complaints that were brought forward to the ICRC in CY 2024	1626			
СМ 7.	Total number of ICRC matters brought forward as a result of a Registrar's Investigation in CY 2024	138			
CM 8. Investig	Total number of requests or notifications for appointment of an investigator through a Registrar's gation brought forward to the ICRC that were approved in CY 2024	67			
СМ 9.	Of the formal complaints and Registrar's Investigations received in CY 2024**:	#	%	What does this information tell us? 1	
I.	Formal complaints that proceeded to Alternative Dispute Resolution (ADR)	165	4.8%	public better understand how formal College and Registrar's Investigatic	ons are disposed of or
١١.	Formal complaints that were resolved through ADR	151	4.4%	resolved. Furthermore, it provides tra of concern that are being brought j	forward to the College's
III.	Formal complaints that were disposed of by ICRC	794	48.8%	Inquiries, Complaints and Reports Con	nmittee.
IV.	Formal complaints that proceeded to ICRC and are still pending	330 20.3%			
V.	Formal complaints withdrawn by Registrar at the request of a complainant	111	3%		
VI.	Formal complaints that are disposed of by the ICRC as frivolous and vexatious	94	10.6%		

	al complaints and Registrar's Investigations that are disposed of by the ICRC as a referral to the line Committee	30	0.98%				
ADR							
<b>Disposal</b>							
Formal Complai	<u>ints</u>						
Formal Complai	aints withdrawn by Registrar at the request of a complainant						
<u>NR</u>							
Registrar's Inves	estigation_						
** The total nu	# May relate to Registrar's Investigations that were brought to the ICRC in the previous year. ** The total number of formal complaints received may not equal the numbers from 9(i) to (vi) as complaints that proceed to ADR and are not resolved will be reviewed at the ICRC, and complaints that the ICRC disposes of as frivolous and vexatious and a referral to the Discipline Committee will also be counted in total number of complaints disposed of by the ICRC.						

# Table 6 – Context Measure 10

DOMAIN 6: SUITABILITY TO PRACTICE							
STANDARD 12							
Statistical data collected in accordance with the recomm	nended method o	or the College's own r	nethod:Recor	n m e n d e d			
If a College method is used, please specify the rationale	for its use:						
Context Measure (CM)							
CM 10. Total number of ICRC decisions in 2024							
Distribution of ICRC decisions by theme in 2024*	# of ICRC [	Decisions++					
Nature of Decision	Take no action	Proves advice or recommendations	lssues a caution (oral or written)	Orders a specified continuing education or remediation program	Agrees to undertaking	Refers specified allegations to the Discipline Committee	Takes any other action it considers appropriate that is not inconsistent with its governing legislation, regulations, or by-laws.
I. Advertising	NR	8	NR	NR	NR	NR	
II. Billing and Fees	23	10	NR	NR	7	NR	
III. Communication	657	248	16	59	62	8	
IV. Competence / Patient Care	1007	356	27	86	100	24	
V. Intent to Mislead Including Fraud	NR	NR	NR	NR	NR	NR	
VI. Professional Conduct & Behaviour	1008	356	27	85	100	24	
VII. Record Keeping	74	48	NR	12	19	NR	
VIII. Sexual Abuse	NR	NR	NR	NR	NR	NR	
IX. Harassment / Boundary Violations	42	21	NR	6	15	17	

Х.	Unauthorized Practice	NR	NR	NR	NR	NR	NR	
XI.	Other < <i>please specify</i> >	76	13	NR	NR	NR	NR	

• Number of decisions are corrected for formal complaints ICRC deemed frivolous and vexatious AND decisions can be regarding formal complaints and registrar's investigations brought forward prior to 2024. ++ The requested statistical information (number and distribution by theme) recognizes that formal complaints and Registrar's Investigations may include allegations that fall under multiple themes identified above, therefore when added together the numbers set out per theme may not equal the total number of formal complaints or registrar's investigations, or decisions.

<u>NR</u>

-

What does this information tell us? This information will help increase transparency on the type of decisions rendered by ICRC for different themes of formal complaints and Registrar's Investigation and the actions taken to protect the public. In addition, the information may assist in further informing the public regarding what the consequences for a registrant can be associated with a particular theme of complaint or Registrar investigation and could facilitate a dialogue with the public about the appropriateness of an outcome related to a particular formal complaint.

Additional comments for clarification (if needed)

# Table 7 – Context Measure 11

DOMAIN 6: SUITABILITY TO PRACTICE								
STANDARD 12								
Statistical data collected in accordance with the recommended method	Statistical data collected in accordance with the recommended method or the College own method: R e c o m m e n d e d							
If College method is used, please specify the rationale for its use:								
Context Measure (CM)								
<b>CM 11.</b> 90 <sup>th</sup> Percentile disposal of:	Days	What does this information tell us? This information illustrates the maximum length of time in which 9 out of 10 formal complaints or Registrar's investigations are being disposed by the College.						
I. A formal complaint in working days in CY 2024	214	The information enhances transparency about the timeliness with which a College disposes of formal complaints or						
II. A Registrar's investigation in working days in CY 2024	441	Registrar's investigations. As such, the information provides the public, ministry, and other stakeholders with information regarding the approximate timelines they can expect for the disposal of a formal complaint filed with, or Registrar's investigation undertaken by, the College.						
<u>Disposal</u>								
Additional comments for clarification (if needed)								
CPSO has been on a journey of continuous improvement in all areas of Co exceeded by completing 80% of complaint files within 130 days.	llege operation	ns. One of CPSO's Key Performance Indicators for 2024 was to complete 80% of complaint files within 150 days, which we						
11.1 includes all complaints where a decision was made by the ICRC, decis	ions that result	vestigations (RIs) at the 90 <sup>th</sup> percentile and also applies different inclusion/exclusion criteria than the KPI metric. For example, CM t from an RI, and complaints resolved as a result of ADR. CPSO's KPI metric includes complaints resolved through early resolution reatly improved the year-over-year numbers, reporting 312 days for CM 11.I and 703 days for CM 11.II in 2023.						

# Table 8 – Context Measure 12

DOMAIN 6: SUITABILITY TO PRACTICE				
STANDARD 12				
Statistical data collected in accordance with the recommended method or the College	e's own method: Reco	) m m e n d e d		
If a College method is used, please specify the rationale for its use:				
Context Measure (CM)				
CM 12. 90th Percentile disposal of:	Days	What does this information tell us? This information illustrates the maximum length of time in who out of 10 uncontested discipline hearings and 9 out of 10 contested discipline hearings are b		
I. An uncontested discipline hearing in working days in CY 2024	321	disposed.		
		The information enhances transparency about the timeliness with which a discipline hear		
II. A contested discipline hearing in working days in CY 2024	456.2	undertaken by a College is concluded. As such, the information provides the public, ministry, and stakeholders with information regarding the approximate timelines they can expect for the resol of a discipline proceeding undertaken by the College.		
Disposal				
Uncontested Discipline Hearing Contested Discipline Hearing				
Additional comments for clarification (if needed)				
CPSO has been on a journey of continuous improvement in all areas of College operation referral at the 80 <sup>th</sup> percentile, a target we exceeded.	ns. One of CPSO's Key F	Performance Indicators for 2024 was to complete the discipline process within 12 months from the time of		
		e 90 <sup>th</sup> percentile and also applies different inclusion/exclusion criteria than the KPI metric. For example, CM Despite this, CPSO has greatly improved the year-over-year numbers, reporting 554 days for CM 12.I and 568		

# Table 9 – Context Measure 13

DOMAIN 6: SU	JITABILITY TO PRACTICE			- + +	
STANDARD 12	TANDARD 12				
Statistical data colle	ected in accordance with the recommended method or the C	College's own method: R e c o m	m e n d e d		
If College method is	s used, please specify the rationale for its use:				
Context Measure (	CM)				
Context Measure (CM) CM 13. Distribution of Discipline finding by type*					
Туре		#	-		
I. Sexual abu	JSE	45	-		
II. Incompete	ence	12			
III. Fail to mai	intain Standard	45			
IV. Improper	use of a controlled act		What does this information tell us? This information facilitates transparency to the public, registra and the ministry regarding the most prevalent discipline findings where a formal complaint Registrar's Investigation is referred to the Discipline Committee by the ICRC.		
V. Conduct u	nbecoming	3			
VI. Dishonour	able, disgraceful, unprofessional	178			
VII. Offence co	onviction				
VIII. Contraven	e certificate restrictions				
IX. Findings ir	n another jurisdiction				
X. Breach of	orders and/or undertaking				
XI. Falsifying	records				
XII. False or m	isleading document				
XIII. Contraven	ne relevant Acts				

\* The requested statistical information recognizes that an individual discipline case may include multiple findings identified above, therefore when added together the number of findings may not equal the total number of discipline cases.

### <u>NR</u>

-

Additional comments for clarification (if needed)

# Table 10 – Context Measure 14

DOMAIN 6: SUITABILITY TO PRACTICE					
STANDARD 12					
Statistical data collected in accordance with the recommended method or the Colle	ege own method: R e d	commended			
If a College method is used, please specify the rationale for its use:					
Context Measure (CM)					
CM 14. Distribution of Discipline orders by type*					
Туре	#				
I. Revocation	7	What does this information tell us? This information will help strengthen transparency on the type of			
II. Suspension	13	actions taken to protect the public through decisions rendered by the Discipline Committee. It is important to note that no conclusions can be drawn on the appropriateness of the discipline decisions without			
III. Terms, Conditions and Limitations on a Certificate of Registration	12	knowing intimate details of each case including the rationale behind the decision.			
IV. Reprimand	22				
V. Undertaking	0				
* The requested statistical information recognizes that an individual discipline cases not equal the total number of discipline cases. Revocation Suspension Terms, Conditions and Limitations Reprimand Undertaking <u>NR</u> Additional comments for clarification (if needed)	e may include multiple	e findings identified above, therefore when added together the numbers set out for findings and orders may			

# Glossary

Alternative Dispute Resolution (ADR): Means mediation, conciliation, negotiation, or any other means of facilitating the resolution of issues in dispute.

Return to:-Table 5

**Contested Discipline Hearing:** In a contested hearing, the College and registrant disagree on some or all of the allegations, penalty and/or costs.

### Return to: Table 8

**Disposal:** The day upon which all relevant decisions were provided to the registrant by the College (i.e., the date the reasons are released and sent to the registrant and complainant, including both liability and penalty decisions, where relevant).

### Return to: <u>Table 5</u>, <u>Table 7</u>, <u>Table 8</u>

**Formal Complaint:** A statement received by a College in writing or in another acceptable form that contains the information required by the College to initiate an investigation. This excludes complaint inquiries and other interactions with the College that do not result in a formally submitted complaint.

### Return to: Table 4, Table 5

Formal Complaints withdrawn by Registrar at the request of a complainant: Any formal complaint withdrawn by the Registrar prior to any action being taken by a Panel of the ICRC, at the request of the complainant, where the Registrar believed that the withdrawal was in the public interest.

### Return to: Table 5

**NR:** Non-reportable: Results are not shown due to < 5 cases (for both # and %). This may include 0 reported cases.

Return to: Table 1, Table 2, Table 3, Table 4, Table 5, Table 6, Table 9, Table 10

**Registrar's Investigation:** Under s.75(1)(a) of the *Regulated Health Professions Act, 1991,* (RHPA) where a Registrar believes, on reasonable and probable grounds, that a registrant has committed an act of professional misconduct or is incompetent, they can appoint an investigator which must be approved by the Inquiries, Complaints and Reports Committee (ICRC). Section 75(1)(b) of the RHPA, where the ICRC receives information about a member from the Quality Assurance Committee, it may request the Registrar to conduct an investigation. In situations where the Registrar determines that the registrant exposes, or is likely to expose, their patient to harm or injury, the Registrar can appoint an investigator immediately without ICRC approval and must inform the ICRC of the appointment within five days.

### Return to: Table 4, Table 5

**Revocation:** Of a member or registrant's Certificate of Registration occurs where the discipline or fitness to practice committee of a health regulatory College makes an order to "revoke" the certificate which terminates the registrant's registration with the College and therefore their ability to practice the profession.

### Return to: Table 10

Suspension: A suspension of a registrant's Certificate of Registration occurs for a set period of time during which the registrant is not permitted to:

- Hold themselves out as a person qualified to practice the profession in Ontario, including using restricted titles (e.g., doctor, nurse),
- Practice the profession in Ontario, or
- Perform controlled acts restricted to the profession under the Regulated Health Professions Act, 1991.

#### Return to: Table 10

**Reprimand:** A reprimand is where a registrant is required to attend publicly before a discipline panel of the College to hear the concerns that the panel has with their practice.

#### Return to: Table 10

Terms, Conditions and Limitations: On a Certificate of Registration are restrictions placed on a registrant's practice and are part of the Public Register posted on a health regulatory College's website.

### Return to: Table 10

**Uncontested Discipline Hearing:** In an uncontested hearing, the College reads a statement of facts into the record which is either agreed to or uncontested by the Respondent. Subsequently, the College and the respondent may make a joint submission on penalty and costs or the College may make submissions which are uncontested by the Respondent.

#### Return to: Table 8

Undertaking: Is a written promise from a registrant that they will carry out certain activities or meet specified conditions requested by the College committee.

#### Return to: Table 10